

**Notice of a public meeting of  
Executive**

**To:** Councillors Aspden (Chair), Ayre, Craghill, Cuthbertson, D'Agorne, Runciman, Smalley, Waller and Widdowson

**Date:** Thursday, 27 June 2019

**Time:** 5.30 pm

**Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

**A G E N D A**

**Notice to Members – Post Decision Calling In:**

Members are reminded that, should they wish to call in any item\* on this agenda, notice must be given to Democracy Support Group by **4:00 pm on Monday, 1 July 2019.**

\*With the exception of matters that have been the subject of a previous call in, require Full Council approval or are urgent, which are not subject to the call-in provisions. Any called in items will be considered by the Customer and Corporate Services Scrutiny Management Committee.

**1. Declarations of Interest**

At this point, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they may have in respect of business on this agenda.

**2. Minutes**

(Pages 1 - 16)

To approve and sign the minutes of the last Executive meeting, held on 18 March 2019.

### 3. **Public Participation**

At this point in the meeting members of the public who have registered to speak can do so. The deadline for registering is **5.00pm on Wednesday, 26 June 2019**. Members of the public can speak on agenda items or matters within the remit of the committee.

To register to speak please contact the Democracy Officer for the meeting, on the details at the foot of the agenda.

### **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at <http://www.york.gov.uk/webcasts> or, if recorded, will be uploaded onto the Council's website following the meeting.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at [http://www.york.gov.uk/download/downloads/id/11406/protocol\\_f\\_or\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_f_or_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

### 4. **Forward Plan** (Pages 17 - 20)

To receive details of those items that are listed on the Forward Plan for the next two Executive meetings.

### 5. **Earswick Neighbourhood Plan** (Pages 21 - 44)

The Corporate Director of Economy & Place to present a report which considers the results of the referendum on the Earswick Neighbourhood Plan and asks Executive to formally 'make' the Plan and bring it into force as part of the Development Plan for York.

- 6. Finance and Performance Outturn 2018/19** (Pages 45 - 78)  
The Director of Customer & Corporate Services to present a report which provides a year end analysis of the council's overall finance and performance position for the 2018/19 financial year, including progress on delivering savings.
- 7. Capital Programme Outturn 2018/19 and Revisions to the 2019/20 - 2023/24 Programme** (Pages 79 - 108)  
The Corporate Director of Customer & Corporate Services (Deputy Chief Executive) to present a report which sets out the capital out-turn position for the 2018/19 financial year and asks Executive to approve requests for re-profiling and to recommend to Full Council the re-stated programme for 2019/20 to 2023/24.
- 8. Treasury Management Annual Report and Review of Prudential Indicators 2018/19** (Pages 109 - 118)  
The Director of Customer & Corporate Services to present a report which provides a review of treasury management activities, and the actual prudential and treasury indicators, for the 2018/19 financial year, in accordance with regulatory requirements.
- 9. Urgent Business**  
Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Fiona Young

Contact details:

- Telephone – (01904) 552030
- E-mail – [fiona.young@york.gov.uk](mailto:fiona.young@york.gov.uk)

For more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports and
- For receiving reports in other formats

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 **(01904) 551550**

City of York Council

Committee Minutes

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Meeting	Executive
Date	18 March 2019
Present	Councillors Gillies (Chair), Aspden, Ayre, Brooks, Dew, K Myers, Runciman and Waller

## **Part A - Matters Dealt With Under Delegated Powers**

### **124. Declarations of Interest**

Members were asked to declare at this point in the meeting any personal interests not included on the Register of Interests, or any prejudicial or disclosable pecuniary interests, that they might have in the business on the agenda.

Councillor Gillies declared a prejudicial interest in Item 8 (Internal Audit) as a Director of Veritau and left the room during consideration of this item.

Councillor Waller highlighted a previously declared interest as a School Governor of Westfield Primary School in relation to Item 5 (Education, Children and Young People's Capital Programme: Proposed School Maintenance Schemes and SEND Facilities Expansion and Improvement Scheme 2019/20).

### **125. Public Participation**

It was reported that there had been two registrations to speak at the meeting under the Council's Public Participation Scheme.

Gwen Swinburn spoke on Agenda Item 8 (Internal Audit). She expressed concerns over the length of the proposed contract for Internal Audit. She stated that she felt Veritau's reports lacked quality and that auditors were slow to respond to Councillors' requests. She further stated that she did not consider a 90% completion rate a success and that audits were a 'light touch'. Finally, she said the service should have been put out to tender, rather than committing to 10 years with Veritau.

Cllr Warters, Member for Osbaldwick & Derwent Ward, spoke on matters within the Executive's remit. He stated that he had raised the issue of the 20MPH Speed Limit signage in

Osboldwick at this administration's first Executive Meeting in June 2015. However, despite a petition being taken to Transport Decisions Sessions, he felt this was rejected for political reasons. He went on to state that this was evidence that the party political system was broken.

**126. Forward Plan**

Members noted that there were no items listed on the Forward Plan for the next two Executive meetings at the time the agenda was published.

**127. Investment in the Redevelopment of Lincoln Court Independent Living Scheme**

*[See also under Part B]*

The Older Person's Accommodation Programme Manager presented a report which sought approval to deliver an enhanced re-development proposal for the Lincoln Court Independent Living Scheme.

Executive were asked to approve Option 1 in the report, an enhanced scheme design giving improved accommodation and communal facilities and to revise the total budget for extending and undertaking a comprehensive refurbishment of Lincoln Court to £4.793m.

The Executive Member for Housing & Safer Neighbourhoods expressed her support for this option, which she considered a really good scheme. She stated that the full refurbishment meant minimal disruption for residents in the future.

In response to questions from Members, Officers stated that:

- Fourteen of the current residents had been offered, and accepted, alternative accommodation. The remaining 5 were still going through this process and Officers were confident that no resident would be forced out at the end of the process;
- The communal lounge would be larger than it was currently;
- The contractor would be required to minimise disruption to local residents during the construction, have a traffic management plan and to engage with residents

throughout the works, and consideration would be given to this at Planning Committee;

- The land the Multi Use Games Area (MUGA) next to Lincoln Court was situated on was part of the Council's public realm portfolio. Even with a change of layout this would remain public realm and consultation was being undertaken as to future use of the land; and
- Access via Green Lane had been agreed in principle with Hob Moor Primary School, with final details currently being negotiated.

Resolved: (i) That the enhanced proposal to deliver 35 high quality, future-proofed units of older persons' independent living accommodation including 15 new build wheelchair accessible apartments and improved communal facilities (Option 1), be approved.

(ii) That it be noted that, in approving the proposal, a commitment is made to provide alternative recreational facilities within Westfield ward following community consultation, including with Sport England, in mitigation for the loss of the Multi-use Games Area, the provision of these alternative facilities to be agreed by Executive subject to a further report and budget approval.

(iii) That authority be delegated to the Director of Health, Housing & Adult Social Care to appoint a contractor to carry out the works, subject to the project being deliverable within the available budget.

Reason: To secure the long term future of Lincoln Court and ensure that it will provide high quality accommodation for older people to help meet the increasing demand for accessible accommodation in the area.

**128. Education, Children and Young People's Capital Programme: Proposed School Maintenance Schemes and SEND Facilities Expansion and Improvement Schemes 2019/20**

The Head of School Services presented a report which provided information on the funding available in the school maintenance programme for the financial year 2019/20 and sought approval for the proposed programme and specific schemes.

Details of the 25 schemes recommended for approval were provided in Annex A to the report, listed under building work and mechanical and electrical work. The estimated total cost was £3.276m. Since only 11 of these high priority schemes could be funded from the available Department for Education (DfE) maintenance grant of £1.470m, additional funding had been allocated through the council's CRAM process. The schemes at Dringhouses Primary and Westfield Primary had budgets of £250k and £300k respectively, as outlined in paragraphs 17 and 18.

The main scheme for the Expansion and Improvement of Facilities for Pupils with SEND (Special Educational Needs and Disabilities) had a budget of £647k for 2019/20. It was proposed to allocate £270k of this to a scheme at Applefields School to address the current lack of suitable teaching and support spaces, as outlined in paragraphs 21 and 22.

The Executive Member for Children, Education and Young People spoke in support of the recommendations and highlighted the importance of the schemes.

In response to Member questions the Head of School Services stated that:

- this was the start of a programme which would include SEN provision in mainstream schools; and
- works at Applefields School could be coordinated with the those being undertaken at Burnholme to minimise disruption in the area.

Resolved: (i) That the resources available in the Children, Education & Communities (CEC) capital programme for maintenance and building work at schools, and for Special Educational Needs and Disability (SEND) expansion, be noted.

(ii) That the two specific Maintenance schemes, at a budgeted individual cost of £250k and £300k respectively, be approved.



(iii) That the Applefields SEND scheme, at a budgeted cost of £270k, be approved.

(iv) That the Maintenance schemes to be developed from the estimated available resource within the 2019/20 capital programme be approved, subject to final affordability once overall funding and detailed cost estimates are available.

(v) That approval of expenditure on individual schemes be delegated to appropriate Officers.

Reasons: (i) To maximise the programme of maintenance within the maintained school estate, dealing with the most urgent issues for 2019/20.

(ii) To enable work to be carried out at Applefields School as part of the Inclusion Review, to better provide for the education of its pupils in the forthcoming school years.

## **129. Proposed Long Term Leases - Buildings at Clarence Gardens and Burnholme**

The Assistant Director of Regeneration & Asset Management and Head of Asset & Property Management presented a report which sought approval to grant long term leases to groups and clubs of property at Clarence Gardens and Burnholme, in line with the Community and Operational Asset Strategy (CAS) contained in the council's overall Asset Management Strategy.

The first proposal was to lease the Clarence Gardens Building to The Hut York Ltd., who had occupied the building since 2011 and now required a longer lease to enable them to apply for external funding to expand their existing services. Because the building could still be classed as 'open space' for the purposes of relevant legislation, it was considered prudent to undertake a consultation process prior to entering into the lease. The second proposal was to lease the former Youth Club building on the Burnholme site to the Trustees of Hempland Kids Club, who had occupied the building for several years and invested heavily in making it fit for purpose. This property was not considered to be open space. Plans of the sites were annexed to the report. Both proposed lessees met the requirements set out in the CAS.

Members expressed their thanks to Officers for taking this project forward due to the good work these services were doing.

Officers agreed they would note the issue of lighting near The Hut.

Resolved: (i) That approval be given to undertake a public consultation, in line with Section 123 of the Local Government Act, on the potential award of a long lease of two buildings at Clarence Gardens to The Hut York Ltd. ('The Hut') for a term of 99 years at a peppercorn rent, in accordance with the Community Asset Transfer Policy set out in the Asset Management Strategy.

Reason: To comply with legislation.

(ii) That authority be delegated to the Director of Economy & Place, in consultation with the Executive Leader (incorporating Finance & Performance), to finalise the leasing of these buildings to The Hut following consideration of any consultation responses.

Reason: To create a sustainable future use of a community facility and transfer maintenance and repair responsibilities to the tenant.

(iii) That approval be given to lease a building at the Burnholme Health and Well-Being Campus to the Trustees of Hempland Kids Club (THKC) for a term of 99 years at a peppercorn rent, in accordance with the Community Asset Transfer Policy set out in the Asset Management Strategy.

Reason: To ensure the sustainable future use of a community facility and transfer maintenance and repair responsibilities to the Trustees.

### **130. A Sustainable Future for York with Hyper Hubs**

*[See also under Part B]*

The Head of Programmes presented a report which sought approval to proceed with planning and procurement processes

to deliver rapid charging points for electric vehicles, called 'Hyper Hubs', in strategic locations across the city. This followed a successful bid to the European Regional Development Fund (ERDF) for funding to support the scheme.

As it involved a key decision and had been on the Forward Plan for less than 28 days, the report had been brought to Executive under statutory urgency procedures. The reason for the urgency was to enable consultation and planning procedures to be initiated before May 2019, in compliance with the ERDF timeline.

The additional funding, approved in December 2018, would support the existing project for which funding had been obtained in 2016 from the Office for Low Emission Vehicles and the Go Ultra Low programme. It would enable a solar canopy to be erected at Monks Cross Park & Ride, Poppleton Bar Park & Ride and York Hospital, providing space for 1,400m<sup>2</sup> solar panels on each site. By combining solar energy harvesting and storage with electric vehicle (EV) charging points, these Hyper Hubs would reduce the reliance of EVs on the electricity grid. The aim was to increase the use of EVs for journeys in and to York, enabling a further transition away from fossil fuel vehicles.

In response to Member questions Officers stated:

- The main way to tackle people blocking spaces for long periods of time was to treat the hubs as more of a 'petrol station' than a car park. The management of this would be taken very seriously to ensure it operated efficiently; and
- The canopy would be South facing and was an optimal design for a solar canopy.

Members expressed their support for the scheme and stressed the importance of ensuring there was suitable infrastructure available for the move towards clean energy.

Resolved: (i) That approval be given to undertake work in line with the report.

(ii) That it be noted that there are conditions attached to the ERDF grant.

- (ii) That the initiation in May of the consultation process on design be approved.
- (iv) That the initiation of the planning process after conclusion of the consultation process be approved.
- (v) That the commencement of the procurement process be approved.
- (vi) That it be noted that a report will be brought back to Executive later in the year to inform Members of the outcome of the procurement process and to ask their approval to proceed to award of the contract.

Reason: In order to move forward and implement a sustainable approach to EV charging to meet the council's ambitions in terms of promoting sustainable transport, reducing the city's carbon footprint and increasing the use of electric vehicles in the city, and to work towards increasing air quality in the city.

### **131. Internal Audit**

At this point in the meeting Councillor Gillies and the S151 Officer left the room and the Deputy Leader took the Chair.

The Chief Executive and the Corporate Finance & Commercial Procurement Manager presented a report which sought approval to enter into a new 10-year contract with current supplier Veritau for the council's internal audit and counter fraud services for the period 2020-2030.

The previous contract with Veritau, a company jointly owned by City of York Council and North Yorkshire County Council, had been extended for a further year and was due to end on 31 March 2020. The report had been considered by Audit & Governance Committee on 5 December 2018 and 6 February 2019, and now included the further information requested by that committee, including the costs and implications of alternative options as set out in paragraph 37.

The other options were: provision through an in-house service, seeking another vehicle for delivery of the shared service, or

procuring an external service provider. All had been discounted on the basis of additional cost and disruption to service. Benchmarking of other audit services, as outlined in paragraphs 27-28, had demonstrated the cost effectiveness of the current arrangements.

In response to Member question the Corporate Finance & Commercial Procurement Manager stated that:

- There was a separate company set up under the Veritau umbrell covering four North Yorkshire district councils. It had also successfully bid for work from several other outside bodies and this had allowed the Council to deliver its saving objectives over recent years;
- The 12 month break clause in the contract applied from day one; and
- A ten year contract was fairly standard for this type of service, which was very often provided internally. This was to give the Council continuity of service and also to give Veritau, who were a small local employer, the certainty to plan and to develop their business and grow as an organisation.

Resolved: (i) That approval be given to enter into a new 10 year contract with Veritau, with an option to extend for a further 5 years.

(ii) That the outline service specification set out in Annex 1 to the report be approved.

Reason: To provide a value for money internal audit and counter fraud function for the council.

### **132. Substance Misuse Scrutiny Review Final Report**

Cllr Pavlovic, Chair of the Scrutiny Task Group set up to review Substance Misuse services in York, presented their final report and recommendations from the review. He stated that the Task Group had prepared its report after months of interviews with experts in their fields, academics, GPs and hospital consultants, senior police officers, the CCG, commissioned services and importantly the Director of Public Health and her team. He also expressed his disappointment that the Director of Public Health had produced a report questioning the conclusions and recommendations of the Task Review members. He urged

Executive Members to accept the recommendations in the report, which he stated were accepted and, indeed commended, by a cross party committee.

The Director of Public Health stated that she welcomed the review and supported the recommendations. She explained that it was her job to highlight to the Executive concerns across a range of Public Health Services, rather than to prioritise one area over another.

The Executive Member for Adult Social Care & Health spoke on the review and how thorough it had been. She stated that some of the points made were very important and that some would, unfortunately, have to be carried over into the future. She said she was glad that £100K had been put back into the substance misuse budget. She also expressed her support for both the recommendations of the task group and the comments made by the Director of Public Health.

Members stated it was important to recognise that this was not a single agency issue and one which the Health and Wellbeing Board should be keeping a close eye on. They also thanked Members of the Task Group for their hard work.

Resolved: That;

1. the findings of the Scrutiny Task Group be noted and that the recommendations from the review, as set out in paragraph 63 of the Task Group's final report at Appendix 1 and paragraph 7 of the cover report, be approved; and
2. the report be sent to Health and Wellbeing Board in order for some multi agency work to be done.

Reason: To conclude the Scrutiny Review in line with the council's Scrutiny procedures and protocols.

### **133. Residents' Priority Parking Scrutiny Review Final Report**

Cllr D'Agorne, Chair of the Scrutiny Task Group set up to review City of York's Residents' Priority Parking Scheme, presented the Task Group's final report and recommendations. He

suggested a minor adjustment to recommendation (v) to remove the word 'zone'.

He stated that the one of the biggest issues raised by residents was cost, along with the length of time it took to go from an application for Resident's Parking to implementation. Finally, he thanked residents for their input and the Officers who assisted the Task Group.

The Executive Member for Transport & Planning expressed his support for the recommendations and for streamlining the process.

Resolved: That the findings of the Scrutiny Task Group be noted and that the recommendations from the review, as set out in paragraph 52 of the Task Group's final report at Appendix 1 and paragraph 7 of the cover report, be approved with the following amendment:

*v. Investigates the transition to a system of virtual permits, initially within a trial zone, to eliminate the need for paper permits through Automatic Number Plate Recognition and better links to DVLA to help enforcement*

Reason: To conclude the Scrutiny Review in line with the council's Scrutiny procedures and protocols.

#### **134. Financial Inclusion Scrutiny Review Final Report**

Cllr Neil Barnes, Chair of the Scrutiny Task Group set up to review the impact of Universal Credit in York and the activities being run to promote Financial Inclusion, presented the Task Group's final report and recommendations. Firstly he thanked Officers for their work on financial inclusion. He went on to state that the group felt the system was letting people down and that the Council was there to try and pick up the pieces. He highlighted the following:

- In terms of Universal Credit, many residents did not understand the system;
- There had been a dramatic rise in food bank use; and
- Improvements needed to be made to digital access for residents.

Finally he stated that the report of the Financial Inclusion Steering Group (FISG) should come to Executive regularly, in order to keep this issue on the agenda. The Director of Customer & Corporate Services stated that the FISG report was usually an update report and this was why it had not historically come to Executive, which was a decision making meeting.

Members thanked the Task Group for thorough report and also asked for their thanks to the FISG and Citizen's Advice York to be recorded, given their hard work in support of residents dealing with the move to Universal Credit.

Resolved: That the findings of the Scrutiny Task Group be noted and that the recommendations from the review, as set out in paragraph 77 of the Task Group's final report at Appendix 1 and paragraph 8 of the cover report, be approved.

Reason: To conclude the Scrutiny Review in line with the council's Scrutiny procedures and protocols.

### **135. Single Use Plastics Scrutiny Review Final Report**

Executive Members considered the Single Use Plastics Scrutiny Review Task Group's final report and recommendations.

Resolved: That the findings of the Scrutiny Sub-Committee be noted and that the recommendations from the review, as set out in paragraph 57 of the Sub-Committee's final report at Appendix 1 and paragraph 10 of the cover report, be approved.

Reason: To conclude the Scrutiny Review in line with the council's Scrutiny procedures and protocols.

### **136. Scrutiny Operations and Functions Review Final Report**

Cllr Williams, Chair of the Scrutiny Task Group set up to review the scrutiny functions and operations at Cit of York Council, presented the Task Group's final report and recommendations. He stated that the group had agreed on all of the recommendations, with the exception of pre-decision scrutiny. He went on to explain that, whilst the addition of pre-decision scrutiny under this administration had been well intentioned, it



had not worked effectively throughout that period. The process had also highlighted that some of the scrutiny committees were not working as well as they could. In particular Health, Housing and Adult Social Care had such a large remit it could not cover everything it needed to and the trial of splitting Economy and Place had not worked as well as expected.

Finally he highlighted the issue of culture across CYC and how this related to engagement with scrutiny. He highlighted the lack of engagement from both Members and Officers over several years and how this meant that scrutiny was not performing as it could be.

Executive Members stated that it had been the intention to give power to scrutiny with the addition of pre-decision call-in but if this was not working then it would be important to find an alternative route through. They also suggested that it was important that scrutiny could keep up with officers when work was moving at pace. They thanked Members for their work on this review.

Resolved: That the findings of the Scrutiny Task Group be noted and that the recommendations from the review, as set out in paragraph 44 of the Task Group's final report at Annex 1 and paragraph 8 of the cover report, be approved.

Reason: To conclude the Scrutiny Review in line with the council's Scrutiny procedures and protocols.

## **Part B - Matters Referred to Council**

### **137. Investment in the Redevelopment of Lincoln Court independent Living Scheme**

*[See also under Part A]*

The Older Person's Accommodation Programme Manager presented a report which sought approval to deliver an enhanced re-development proposal for the Lincoln Court Independent Living Scheme.

Executive were asked to approve Option 1 in the report, an enhanced scheme design giving improved accommodation and communal facilities and to revise the total budget for extending

and undertaking a comprehensive refurbishment of Lincoln Court to £4.793m.

The Executive Member for Housing & Safer Neighbourhoods expressed her support for this option, which she considered a really good scheme. She stated that the full refurbishment meant minimal disruption for residents in the future.

In response to questions from Members, Officers stated that:

- Fourteen of the current residents had been offered, and accepted, alternative accommodation. The remaining 5 were still going through this process and Officers were confident that no resident would be forced out at the end of the process;
- The communal lounge would be larger than it was currently;
- The contractor would be required to minimise disruption to local residents during the construction, have a traffic management plan and to engage with residents throughout the works, and consideration would be given to this at Planning Committee;
- The land the Multi Use Games Area (MUGA) next to Lincoln Court was situated on was part of the Council's public realm portfolio. Even with a change of layout this would remain public realm and consultation was being undertaken as to future use of the land; and
- Access via Green Lane had been agreed in principle with Hob Moor Primary School, with final details currently being negotiated.

Members, in accordance with Option 1

Recommended: That Council approve the revised gross capital investment of £4.793m in the scheme, noting that this equates to £137k per unit of accommodation, which compares favourably to other older persons' accommodation schemes being delivered across the city.

Reason: To secure the long term future of Lincoln Court and ensure that it will provide high quality of accommodation for older people to help meet the increasing demand for accessible accommodation in this area.

**138. A Sustainable Future for York with Hyper Hubs**

*[See also under Part A]*

The Head of Programmes presented a report which sought approval to proceed with planning and procurement processes to deliver rapid charging points for electric vehicles, called 'Hyper Hubs', in strategic locations across the city. This followed a successful bid to the European Regional Development Fund (ERDF) for funding to support the scheme.

As it involved a key decision and had been on the Forward Plan for less than 28 days, the report had been brought to Executive under statutory urgency procedures. The reason for urgency was to enable consultation and planning procedures to be initiated before May 2019, in line with the ERDF timeline.

In response to Member questions Officers stated:

- The main way to tackle people blocking spaces for long periods of time was to treat the hubs as more of a 'petrol station' than a car park. The management of this would be taken very seriously to ensure it operated efficiently; and
- The canopy would be South facing and was an optimal design for a solar canopy.

Members expressed their support for the scheme and stressed the importance of ensuring there was suitable infrastructure available for the move towards clean energy.

The following options were then considered:

Option 1 - to approve to proceed with the extended project (with Solar Canopy and battery storage) to provide a more sustainable solution for charging of EVs in the City and to accelerate the market in terms of rapid charging provision; and  
Option 2 - to proceed to build rapid charging hubs (with the OLEV funding already secured) and rely on energy take from the national grid.

Members, in accordance with Option 1

Recommended: That Council approve the budget of £700k for the Hyper Hubs project.

Reason: In order to move forward and implement a sustainable approach to EV charging to meet the council's ambitions in terms of promoting sustainable transport, reducing the city's carbon footprint and increasing the use of electric vehicles in the city, and to work towards increasing air quality in the city.

Councillor Gillies, Chair  
[The meeting started at 5.45 pm and finished at 7.30 pm].

**Forward Plan: Executive Meeting: 27 June 2019**

**Table 1: Items scheduled on the Forward Plan for the Executive Meeting on 18 July 2019**

Title and Description	Author	Portfolio Holder
<p><b>Annual Report on Financial Inclusion and Welfare Benefits Activities</b>  <b>Purpose of report:</b>                      To provide an update on:                      - financial inclusion activity during 2018/19 including delivery of FI grant schemes                      - benefits statistics and performance, including the York Financial Assistance Scheme                      - any ongoing impact of recent and imminent welfare benefits changes in York, including Universal Credit, and support available for residents in dealing with these challenges.                      - action planned to implement the agreed recommendations from the Financial Inclusion Scrutiny Review.</p> <p>Executive will be asked to: note the report and approve the actions to deliver the agreed recommendations from the Financial Inclusion Scrutiny Review.</p>	<p>Pauline Stuchfield</p>	<p>Executive Member for Housing &amp; Safer Neighbourhoods</p>
<p><b>Children in Care Residential Commissioning Plan</b>  <b>Purpose of Report</b>                      To provide recommendations to deliver better outcomes for Children in Care by reducing the number of Out of Area (OOA) placements and Independent Fostering Agencies and increasing residential sufficiency within the City.</p> <p>Executive will be asked to: consider options to respond to the needs of Children in Care identified through a gap analysis as part of the overall Children’s Services’ Placement Review.</p>	<p>William Shaw</p>	<p>Executive Member for Children, Young People &amp; Education</p>

Title and Description	Author	Portfolio Holder
<p><b>PROW: Review of Definitive Map Processes and impact of imminent implementation of the Deregulation Act 2015</b></p> <p><b>Purpose of Report</b>            To present a review of the council’s definitive map processes, practice and Statement of Priorities, following a Local Government Ombudsman finding that the council is at fault in regard to the length of time it takes to determine definitive map modification order applications to add unrecorded public rights of way to the Definitive Map and Statement.</p> <p>Executive will be asked to: support the findings of the review and authorise the necessary changes.</p>	Alison Newbould	Executive Member for Transport
<p><b>Schools Capital Programme, 2019-2022</b> (slipped from 26 June)</p> <p><b>Purpose of Report</b>            To outline the major projects in the schools capital programme that will involve the use of basic need funding, including the capital projects linked to the Inclusion Review and the need for additional secondary school places in the East and South of the city.</p> <p>Executive will be asked to: decide how to meet the sufficiency duty.</p>	Maxine Squire	Executive Member for Children, Young People & Education

**Table 2: Items scheduled on the Forward Plan for the Executive Meeting on 29 August 2019**

<b>Title and Description</b>	<b>Author</b>	<b>Portfolio Holder</b>
<p><b>Q1 19-20 Finance and Performance Monitor</b>  <b>Purpose of Report</b>                      To provide an overview of the council’s finance and performance position at the end of Quarter 1.</p> <p>Executive will be asked to: note an approve the report.</p>	<p>Ian Cunningham &amp;                      Debbie Mitchell</p>	<p>Executive Member                      for Finance &amp;                      Performance</p>
<p><b>Academy Conversion of the Danesgate Community</b>  <b>Purpose of Report</b>                      To deal with issues relating to the conversion of the Danesgate Community to academy status.</p> <p>Executive will be asked to:</p> <ul style="list-style-type: none"> <li>- agree land and access issues as part of the land lease to the academy trust;</li> <li>- agree the number of pupil places to be commissioned by the authority, as Danesgate is an alternative provision academy and therefore does not have a planned admission number (PAN).</li> </ul>	<p>Maxine Squire</p>	<p>Executive Member                      for Children, Young                      People &amp; Education</p>

**Table 3: Items Slipped on the Forward Plan**

<b>Title &amp; Description</b>	<b>Author</b>	<b>Portfolio Holder</b>	<b>Original Date</b>	<b>Revised Date</b>	<b>Reason for Slippage</b>
<p><b>Schools Capital Programme, 2019-2022</b> See Table 1 for details</p>	<p>Maxine Squire</p>	<p>Executive Member for Children, Young People &amp; Education</p>	<p>27 June 2019</p>	<p>18 July 2019</p>	<p>To enable the inclusion of further detail on the secondary school placement options, as feasibility studies are currently being undertaken.</p>





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**Executive****27 June 2019**

Report of the Director for Economy and Place  
Portfolio of the Executive Member for Economy and Strategic  
Planning

**Earswick Neighbourhood Plan****Summary**

1. The purpose of the report is to consider the results of the Earswick Neighbourhood Plan referendum. It asks Members to formally 'make' the Neighbourhood Plan and bring it into full legal force as part of the Development Plan for York. This will allow the Neighbourhood Plan to progress in line with the relevant Neighbourhood Planning legislation and Regulations. This paper will be considered by Members of Local Plan Working Group on 20 June 2019.

**Recommendations**

2. The Executive is asked to:
  - i) Consider the results of the referendum and formally 'make' the Earswick Neighbourhood Plan.  
  
Reason: To allow the Neighbourhood Plan to progress in line with the Neighbourhood Planning Regulations.
  - ii) To approve the Decision Statement attached at Annex B to be published in accordance with Regulation 19 of the Neighbourhood Planning (General) Regulations 2012 (as amended).  
  
Reason: To allow the Neighbourhood Plan to progress in line with neighbourhood planning legislation.

## Background

3. The Localism Act 2011 introduced new powers for community groups to prepare neighbourhood plans for their local areas. The Council has a statutory duty to assist communities in the preparation of Neighbourhood Plans and to take plans through a process of Examination and Referendum. The local authority is required to take decisions at key stages in the process within time limits that apply, as set out in the Neighbourhood Planning (General) Regulations 2012 as amended in 2015 and 2016 (“the Regulations”).
4. The Earswick Neighbourhood Plan has been prepared by Earswick Parish Council with on-going engagement with the local community and City of York Council. The Plan has been through the following stages of preparation:
  - Designation as a Neighbourhood Area (9 December 2015)
  - Consultation on 1<sup>st</sup> Pre-Submission version (20 November 2016 to 7 January 2017)
  - Consultation on 2<sup>nd</sup> Pre-Submission version (4 December 2017 to 5 February 2018)
  - Submission to City of York Council (5 February 2018)
  - Submission consultation (4 October to 15 November 2018)
  - Examiner Report considered at LPWG and Executive (27 February and 7 March 2019 respectively)
  - Referendum (2 May 2019)
5. The Examiner’s Report concluded that subject to a series of recommended modifications, the Earswick Neighbourhood Plan met the necessary basic conditions (as set out in Schedule 4b (8) of the Town and Country Planning Act 1990, as amended by the Localism Act 2011) and subject to these modifications being made it should proceed to referendum.
6. At Local Plan Working Group on 27 February 2019 and Executive on 7 May 2019, Members accepted the Examiner’s recommendations and agreed that the Earswick Neighbourhood Plan should proceed to referendum.
7. A referendum was held on 2 May 2019.

## Referendum

8. A referendum on the Earswick Neighbourhood Plan was held on 2 May 2019 and was organised by the City of York Council. As per the Examiner's recommendations, the referendum area was the same as the Neighbourhood Area designated by the Council, which is the parish of Earswick.
9. A polling station at Earswick Village Hall was open from 7am until 10pm on Thursday 2 May 2019.
10. The Declaration of Results of Poll contained at Annex A to this report confirms that 347 residents casted a valid vote in the referendum, out of a potential 712 on the electoral roll (50.4% turnout). The results on whether to accept the Earswick Neighbourhood Plan were:-
  - YES = 327 (94.2%)
  - NO = 20 (5.8%)
11. The Neighbourhood Planning Regulations (2012 as amended) requires that where over 50% of those voting in the Neighbourhood Plan referendum, vote in favour of the Neighbourhood Plan, then the Council is legally obliged to 'make' the plan (i.e. bring it into force as part of the Development Plan). The Council is not subject to this legal requirement if the making of the plan would breach, or would otherwise be incompatible with, any EU obligation or any of the Convention rights (within the meaning of the Human Rights Act 1998) or there are unresolved legal challenges.
12. The Planning and Compulsory Purchase Act 2004 also provides that a Neighbourhood Plan for an area becomes part of the development plan for that area after it is approved by an applicable referendum, prior to the plan being 'made' by the Council. In the very limited circumstances where the local planning authority might decide not to 'make' the neighbourhood plan, it will cease to be part of the development plan for the area. Given that the referendum result was 94.2% in favour of the Neighbourhood Plan; the Earswick Neighbourhood Plan and the policies within it are now part of the statutory development plan for City of York.
13. The Neighbourhood Plan must be made by the Council within 8 weeks beginning with the day immediately following that on which the

referendum is held (unless the Plan is incompatible with EU/HR legislation or there is an unresolved legal challenge). This date is 28 June 2019.

### **Consultation**

14. As mentioned earlier in the report, the Earswick Neighbourhood Plan has been through several stages of consultation. These are: consultation on designation as a Neighbourhood Area (9 December 2015), consultation on 1<sup>st</sup> Pre-Submission version (20 November 2016 to 7 January 2017), consultation on 2<sup>nd</sup> Pre-Submission version (4 December 2017 to 5 February 2018) consultation on a Submission version (4 October to 15 November 2018) and the Referendum (2 May 2019).
15. A Consultation Statement accompanied the submission version of the Neighbourhood Plan and sets out the consultation undertaken. All the consultation undertaken by City of York Council has been carried out in accordance with the Council's Statement of Community Involvement.

### **Options**

16. Members are asked to formally 'make' the Earswick Neighbourhood Plan and bring it into full legal force as part of the Development Plan for York. The Council is legally obliged to make the plan because it meets the legal requirements for making a plan.

### **Analysis**

17. This report presents to Members the outcome of the Earswick Neighbourhood Plan referendum. At 94.2% in favour of using the Neighbourhood Plan to help determine planning applications in the defined neighbourhood area, this endorsement is demonstrably higher than the required threshold of more than half of those voting. A positive majority at the referendum means that the Council is now obliged to "make" the plan and bring it into full legal force as part of the Development Plan for York.
18. The Neighbourhood Plan is considered to meet the basic conditions and all relevant legal and procedural requirements and this is supported in the Examiner's Report. It is advised that the plan be made by the Council given the positive vote in support of the neighbourhood plan and nothing has changed since the earlier consideration of the

Examiner's report and modifications which would suggest that the Plan would breach, or be incompatible with any EU obligation or any of the Convention of Rights. Nor is there any unresolved legal challenge in respect of the Plan. There are no reasons why the Council should not proceed to 'make' the Neighbourhood Plan in accordance with the outcome of the referendum.

### **Next Steps**

19. Once the plan is 'made', it will achieve its full legal status. It forms part of the statutory development plan for the area and will sit alongside the Local Plan (once adopted). The Neighbourhood Plan contains a series of policies that will be used when determining planning applications that are located within the defined Neighbourhood Area. Planning law requires that applications for planning permission must be determined in accordance with the development plan unless material considerations indicate otherwise.

### **Council Plan**

20. Under the 2015-2019 Council Plan objectives the project will assist in the creation of a Prosperous City for All, and be a Council that listens to residents particularly by ensuring that:
  - i. Everyone who lives in the city can enjoy its unique heritage and range of activities.
  - ii. Residents can access affordable homes while the greenbelt and unique character of the city is protected.
  - iii. Visitors, businesses and residents are impressed with the quality of our city.
  - iv. Local businesses can thrive.
  - v. Efficient and affordable transport links enable residents and businesses to access key services and opportunities.
  - vi. Environmental Sustainability underpins everything we do.
  - vii. We are entrepreneurial, by making the most of commercial activities.
  - viii. Engage with our communities, listening to their views and taking them into account.

### **Implications**

21. The following implications have been assessed:

- **Financial** – There are no financial implications
- **Human Resources (HR)** – There are no HR implications
- **One Planet Council / Equalities** - Better Decision Making Tool attached at Annex C.
- **Legal** - The legal implications are set out within the body of this report.
- **Crime and Disorder**– There are no crime and disorder implications
- **Information Technology (IT)** – There are no financial implications
- **Property** – There are no property implications
- **Other** – None

### **Risk Management**

22. In compliance with the Council’s risk management strategy, the main risks associated with the Earswick Neighbourhood Plan are as follows:

- The decision whether or not to ‘make’ the Neighbourhood Plan is, like all decisions of a public authority, open to challenge by judicial review. The risk of any such legal challenge being successful has been minimised by the thorough and robust way in which it has been prepared and tested.
- Risks arising from failure to comply with the laws and regulations relating to Planning and the SA and Strategic Environmental Assessment processes and not exercising local control of developments.

### **Contact Details**

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Development Officer  
Strategic Planning

(01904) 553312

**Chief Officer Responsible for the report:**

Mike Slater  
Assistant Director Planning and Public  
Protection

Tel: (01904) 551300

**Report Approved**  **Date:**13.06.2019

**Specialist Implications Officer(s):**

Patrick Looker, Finance Manager  
Sandra Branigan, Senior Solicitor, Planning

**Wards Affected:** Strensall

*For further information please contact the author of the report*

**Background Papers:** None

**Annexes:**

Annex A: Declaration of Result of Poll  
Annex B: Regulation 19 Decision Statement  
Annex C: Better Decision Making Tool

**List of Abbreviations Used in this Report:**

EU	European Union
HR	Human Rights
SEA	Strategic Environmental Assessment
HRA	Habitat Regulation Assessment
NP	Neighbourhood Plan

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# DECLARATION OF RESULT OF POLL

## Referendum on the Earswick Neighbourhood Planning Area

On Thursday 2 May 2019

I, Mary Weastell, being the Counting Officer at the above referendum, do hereby give notice of the number of votes recorded for each answer to the question:

Question:		
Do you want City of York Council to use the Neighbourhood Plan for the Earswick to help it decide planning applications in the neighbourhood area?		
	Votes Recorded	Percentage
Number cast in favour of a YES	327	94.2%
Number cast in favour of a NO	20	5.8%

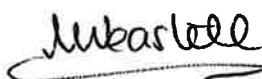
The number of ballot papers rejected as follows:	Number of ballot papers
A Want of an Official Mark	-
B Voting for more answers than required	-
C Writing or mark by which voter could be identified	-
D Being unmarked or wholly void for uncertainty	10
<b>TOTAL</b>	<b>10</b>

Electorate: 712

Ballot Papers Issued: 359

Turnout: 50.4%

Dated: 3 May 2019

  
Mary Weastell  
Counting Officer

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## **Earswick Neighbourhood Plan**

### **Final Decision Statement published pursuant to Section 38A (9) and (10) Planning and Compulsory Purchase Act 2004 (as amended) and Regulations 19 and 20 of the Neighbourhood Planning (General) Regulations 2012 (as amended)**

#### **1. Summary**

Following a positive referendum result on the 2<sup>nd</sup> May 2019, City of York Council is publicising its decision made on 27<sup>th</sup> June 2019 by the Executive to 'make' the Earswick Neighbourhood Plan part of the City of York Development Plan in accordance with Regulation 19 of the Neighbourhood Planning (General) Regulations 2012.

#### **2. Background**

Earswick Parish Council, as the qualifying body, successfully applied for the parish of Earswick to be designated as the Earswick Neighbourhood Area under the Neighbourhood Planning (General) Regulations (2012). Following the submission of the Earswick Neighbourhood Plan to the Council, the plan was publicised and comments were invited from the public and stakeholders. The consultation period closed on 15<sup>th</sup> November 2018.

#### **3. Decision and Reasoning**

City of York Council appointed an independent Examiner; Mr Andrew Ashcroft BA (Hons) MA, DMS, MRTPI, to review whether the plan met the basic conditions required by legislation and whether the plan should proceed to referendum.

The Examiner's Report concluded that the plan meets the Basic Conditions, and that subject to the modifications proposed in the report and which are set out in the Earswick Neighbourhood Plan Decision Statement (discussed at LPWG and Executive on 27<sup>th</sup> February 2019 and 7<sup>th</sup> March 2019 respectively), the plan should proceed to a Referendum.

A referendum was held on Thursday 2<sup>nd</sup> May 2019 and 94.2% of those who voted were in favour of the plan. Paragraph 38A (4)(a) of the Planning and Compulsory Purchase Act 2004 as amended requires that the Council must make the Neighbourhood Plan if more than half of those voting have voted in favour of the plan. City of York Council is not subject to this duty if the making of the plan would breach, or would otherwise be incompatible with, any EU obligation or any of the Convention rights (within the meaning of the Human Rights Act 1998).

The referendum held on 2<sup>nd</sup> May 2019 met the requirements of the Localism Act 2011; it was held in the Earswick Neighbourhood Area and posed the question:

**Do you want City of York Council to use the Neighbourhood Plan for Earswick to help it decide planning applications in the neighbourhood area?**

The count took place on the 3<sup>rd</sup> May 2019 and greater than 50% of those who voted were in favour of the plan being used to help decide planning applications in the plan area.

The results of the referendum were:

<b>Response</b>	<b>Votes recorded (percentage)</b>
Yes	94.2%
No	5.8%
Turnout	50.4%

The Council considers that the Earswick Neighbourhood Plan meets the basic conditions (set out in paragraph 8(2) of Schedule 4B of the Town and Country Planning Act 1990 as amended), its promotion process was compliant with legal and procedural requirements and it does not breach the legislation (set out in Section 38A(6) of the Planning and Compulsory Purchase Act 2004).

**4. Inspection of Decision Statement and made Neighbourhood Plan**

This decision statement can be viewed on the City of York Council website and the Earswick Neighbourhood Plan website:

[www.york.gov.uk/neighbourhoodplanning](http://www.york.gov.uk/neighbourhoodplanning)  
<https://www.earswick.org/neighbourhood-plan/>

In accordance with Regulation 20 of the Regulations, the Earswick Neighbourhood Plan can be viewed on the Council's website and the Earswick Neighbourhood Plan website:

[www.york.gov.uk/neighbourhoodplanning](http://www.york.gov.uk/neighbourhoodplanning)  
<https://www.earswick.org/neighbourhood-plan/>

A copy of this decision statement is being sent to:-

- The qualifying body, namely Earswick Parish Council; and
- To any person who asked to be notified of the decision.

Paper copies of this statement and the made Neighbourhood Plan can also be viewed at:

- **City of York Council, West Offices, Station Rise, York, YO1 6GA** (Mon-Fri 8.30am-5.00pm excluding UK Bank Holidays)
- **York Explore Library, Library Square, York, YO1 7DS**  
(Wednesday 9am-8pm, Thursday 9am-8pm, Friday 10am-6pm, Saturday 9am-5pm, Sunday 11am-4pm, Monday 9am-8pm, Tuesday 9am-8pm)
- **Strensall Library, 19 The Village, Strensall, York YO32 5XS**  
Monday: 2pm – 5pm, Tuesday: 10.30am – 12.30pm and 2pm – 5pm, Wednesday: Closed, Thursday: 9.30am – 12.30pm and 2pm– 4pm, Friday: 2pm – 5pm, Saturday: 10am – 12.30pm.
- **Huntington Library, Garth Road, Huntington, York YO32 9QJ**  
Monday: 9.30am – 12 noon and 2pm – 5pm, Tuesday: 9.30am – 12 noon and 2pm – 5pm, Wednesday: Closed, Thursday: 9.30am – 12 noon and 2pm – 5pm, Friday: 9.30am – 12 noon and 2pm – 5pm, Saturday: 9.30am – 12.30pm.

For further information please contact the Neighbourhood Planning team on [neighbourhoodplanning@york.gov.uk](mailto:neighbourhoodplanning@york.gov.uk) or 01904 552255.

Signed



Mike Slater  
Chief Planning Officer

27<sup>th</sup> June 2019

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## Annex C



'Better Decision Making' Tool

Informing our approach to sustainability, resilience and fairness

The 'Better Decision Making' tool should be completed when proposing new projects, services, policies or strategies.

This integrated impact assessment tool was designed to help you to consider the impact of your proposal on social, economic and environmental sustainability, and equalities and human rights. The tool draws upon the priorities set out in our Council Plan and will help us to provide inclusive and discrimination-free services. The purpose of this new tool is to ensure that the impacts of every proposal are carefully considered and balanced and that decisions are based on evidence.

**Part 1** of this form should be completed as soon as you have identified a potential area for change and when you are just beginning to develop a proposal. If you are following the All About Projects Framework it should be completed before going through Gateway 3.

**Part 2** of this form should be filled in once you have completed your proposal and prior to being submitted for consideration by the Executive. If you are following the All About Projects Framework it should be completed before going through Gateway 4. Your answer to questions 1.4 in the improvements section must be reported in any papers going to the Executive and the full 'Better Decision Making' tool should be attached as an annex.

Guidance to help you complete the assessment can be obtained by hovering over the relevant text or by following this link to the 'Better Decision Making' tool on Colin.

Guidance on completing this assessment is available by hovering over the text boxes.

**Please complete all fields** (and expand if necessary).

#### Introduction

<b>Service submitting the proposal:</b>	Strategic Planning
<b>Name of person completing the assessment:</b>	Anna Pawson
<b>Job title:</b>	Development Officer
<b>Directorate:</b>	Economy and Place
<b>Date Completed:</b>	14/05/2019
<b>Date Approved:</b> form to be checked by service manager	

#### Part 1

##### Section 1: What is the proposal?

1.1	<b>Name of the service, project, programme, policy or strategy being assessed?</b> Earswick Neighbourhood Plan
1.2	<b>What are the main aims of the proposal?</b> The Earswick Neighbourhood Plan aims for Earswick to continue to thrive as a vibrant and distinctive Parish, to continue to respect and reflect the views of its community, to evolve and expand, where appropriate, whilst retaining its unique and distinctive character and to provide an outstanding quality of life for current and future generations of residents. The Earswick Neighbourhood Plan vision is for Earswick Parish to be a desirable place to live for all residents based on its distinctive, semi-rural character and open space, safe and secure environment and community spirit. The main purpose of the report is to advise Members of the referendum result and Members are asked to formally 'make' the Earswick Neighbourhood Plan and bring it into full legal force as part of the Development Plan for York.
1.3	<b>What are the key outcomes?</b> To formally 'make' the Earswick Neighbourhood Plan.

**Section 2: Evidence**

	<b>What data / evidence is available to understand the likely impacts of the proposal?</b> (e.g. hate crime figures, obesity levels, recycling statistics)
2.1	The Neighbourhood Plan uses the Local Plan evidence base to support its policies.

	<b>What public / stakeholder consultation has been used to support this proposal?</b>
2.2	Previous consultation responses received as part of the 1st Pre-Submission Consultation (20th November 2016 to 7th January 2017), the 2nd Pre-Submission Consultation (4th December 2017 to 5th February 2018) and the Submission Consultation (4th October to 15th November 2018) have shaped policy formation. The Referendum result was 94.2% in favour of using the Neighbourhood Plan.

	<b>Are there any other initiatives that may produce a combined impact with this proposal?</b> (e.g. will the same individuals / communities of identity also be impacted by a different project or policy?)
2.3	The Neighbourhood Plan has been developed alongside an emerging City of York Local Plan. The residents, businesses and people with a land interest in the Earswick area will also be consulted on as part of the Local Plan process.



## Part 1

## Section 3: Impact on One Planet principles

Please summarise any potential positive and negative impacts that may arise from your proposal on staff or residents.  
This section relates to the impact of your proposal on the One Planet principles.

For 'Impact', please select from the options in the drop-down menu.  
If you wish to enter multiple paragraphs in any of the boxes, hold down 'Alt' before hitting 'Enter'.

## Equity and Local Economy

Does your proposal?		Impact	What are the impacts and how do you know?
3.1	Impact positively on the business community in York?	Neutral	There are no specific policies relating to York's business community. However it is recognised in the plan that there remain opportunities for windfall employment development over the lifetime of the Plan.
3.2	Provide additional employment or training opportunities in the city?	Neutral	There are no specific policies relating to York's business community. However it is recognised in the plan that there remain opportunities for windfall employment development over the lifetime of the Plan.
3.3	Help individuals from disadvantaged backgrounds or underrepresented groups to improve their skills?	Neutral	There are no specific policies relating to individuals from disadvantaged backgrounds.

## Health &amp; Happiness

Does your proposal?		Impact	What are the impacts and how do you know?
3.4	Improve the physical health or emotional wellbeing of staff or residents?	Positive	The Neighbourhood Plan includes policies to protect local green spaces, to conserve and enhance ecology and biodiversity, to respect distinctive views, to protect footpaths/bridleways and cycleways. Safety and security is also a high priority in the parish.
3.5	Help reduce health inequalities?	Positive	The Neighbourhood Plan includes policies to protect local green spaces, to conserve and enhance ecology and biodiversity, to respect distinctive views, to protect footpaths/bridleways and cycleways. Safety and security is also a high priority in the parish.
3.6	Encourage residents to be more responsible for their own health?	Positive	The Neighbourhood Plan includes policies to protect local green spaces, to conserve and enhance ecology and biodiversity, to protect footpaths/bridleways and cycleways, enhancements to transport and highways. Safety and security is also a high priority in the parish.
3.7	Reduce crime or fear of crime?	Positive	The Neighbourhood Plan includes a Policy relating to a safe and secure Parish. It states that safety and security should be a high priority in the design of developments proposals in order to create attractive and safe public and private places.
3.8	Help to give children and young people a good start in life?	Positive	The Neighbourhood Plan includes a policy to protect local green space which has 30 acres of open space and includes its children's play area, junior football pitch and exercise equipment, tennis courts and a village hall where a number of community events take place.

## Culture &amp; Community

Does your proposal?		Impact	What are the impacts and how do you know?
3.9	Help improve community cohesion?	Positive	The production of a Neighbourhood Plan should help improve community cohesion by bringing people together with a shared goal of improving their neighbourhood.
3.10	Improve access to services for residents, especially those most in need?	Positive	The Neighbourhood Plan includes policies to protect the network of footpaths, Bridleways and cycleways and enhancements to transport and highways.
3.11	Improve the cultural offerings of York?	Positive	There is a policy relating to buildings and structures of local heritage interests which seeks to protect and preserve the historic character and features of Earswick.

3.12	<b>Encourage residents to be more socially responsible?</b>	Neutral	No specific reference.
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**Zero Carbon and Sustainable Water**

Does your proposal?	Impact	What are the impacts and how do you know?
3.13 <b>Minimise the amount of energy we use, or reduce the amount of energy we will use/pay for in the future?</b>	Positive	There is a climate change policy in the Neighbourhood Plan which indicates that developers will be encouraged to install efficient water and waste management systems in new buildings, use locally sourced wood fuel for heating, promote the use of sustainable materials in construction and encourage energy efficient measures for new builds.
3.14 <b>Minimise the amount of water we use or reduce the amount of water we will use/pay for in the future?</b>	Positive	There is a climate change policy in the Neighbourhood Plan which indicates that developers will be encouraged to install efficient water and waste management systems in new buildings.
3.15 <b>Provide opportunities to generate energy from renewable/low carbon technologies?</b>	Positive	There is a climate change policy in the Neighbourhood Plan which indicates that developers will be encouraged promote the use of sustainable materials in construction and encourage energy efficient measures for new builds.

**Zero Waste**

Does your proposal?	Impact	What are the impacts and how do you know?
3.16 <b>Reduce waste and the amount of money we pay to dispose of waste by maximising reuse and/or recycling of materials?</b>	Neutral	There is a climate change policy in the Neighbourhood Plan which indicates that developers will be encouraged to install efficient water and waste management systems in new buildings.

**Sustainable Transport**

Does your proposal?	Impact	What are the impacts and how do you know?
3.17 <b>Encourage the use of sustainable transport, such as walking, cycling, ultra low emission vehicles and public transport?</b>	Mixed	The Neighbourhood Plan includes policies to protect the network of footpaths, Bridleways and cycleways and enhancements to transport and highways.
3.18 <b>Help improve the quality of the air we breathe?</b>	Mixed	The Neighbourhood Plan includes policies and community actions to improve the network of footpaths and cycleways.

**Sustainable Materials**

Does your proposal?	Impact	What are the impacts and how do you know?
3.19 <b>Minimise the environmental impact of the goods and services used?</b>	Neutral	No specific reference

**Local and Sustainable Food**

Does your proposal?	Impact	What are the impacts and how do you know?
3.20 <b>Maximise opportunities to support local and sustainable food initiatives?</b>	Neutral	No specific reference

**Land Use and Wildlife**

Does your proposal?	Impact	What are the impacts and how do you know?
3.21 <b>Maximise opportunities to conserve or enhance the natural environment?</b>	Positive	The Neighbourhood Plan includes policies to protect local green spaces, to conserve and enhance ecology and biodiversity and to respect distinctive views.

3.22	<b>Improve the quality of the built environment?</b>	Positive	There is a climate change policy in the Neighbourhood Plan which indicates that developers will be encouraged to install efficient water and waste management systems in new buildings, use locally sourced wood fuel for heating, promote the use of sustainable materials in construction and encourage energy efficient measures for new builds.
3.23	<b>Preserve the character and setting of the historic city of York?</b>	Positive	The Neighbourhood Plan includes a policy on the Green Belt and recognises the important role the Green Belt plays in determining the setting, character and identity of the village of Earswick and wider area.
3.24	<b>Enable residents to enjoy public spaces?</b>	Positive	The Neighbourhood Plan includes policies to protect local green spaces, to conserve and enhance ecology and biodiversity and to respect distinctive views.

3.25	<b>Additional space to comment on the impacts</b>		

**Part 1**

**Section 4: Impact on Equalities and Human Rights**

Please summarise any potential positive and negative impacts that may arise from your proposal on staff or residents. This section relates to the impact of your proposal on **advancing equalities and human rights** and should build on the impacts you identified in the previous section.

For 'Impact', please select from the options in the drop-down menu.  
 If you wish to enter multiple paragraphs in any of the boxes, hold down 'Alt' before hitting 'Enter'

**Equalities**

Will the proposal **adversely impact** upon 'communities of identity'?  
 Will it **help advance equality** or **foster good relations** between people in 'communities of identity'?

	Impact	What are the impacts and how do you know?	Relevant quality of life indicators
4.1 <b>Age</b>	Neutral	None deemed likely	N/A
4.2 <b>Disability</b>	Neutral	None deemed likely	N/A
4.3 <b>Gender</b>	Neutral	None deemed likely	N/A
4.4 <b>Gender Reassignment</b>	Neutral	None deemed likely	N/A
4.5 <b>Marriage and civil partnership</b>	Neutral	None deemed likely	N/A
4.6 <b>Pregnancy and maternity</b>	Neutral	None deemed likely	N/A
4.7 <b>Race</b>	Neutral	None deemed likely	N/A
4.8 <b>Religion or belief</b>	Neutral	None deemed likely	N/A
4.9 <b>Sexual orientation</b>	Neutral	None deemed likely	N/A
4.10 <b>Carer</b>	Neutral	None deemed likely	N/A
4.11 <b>Lowest income groups</b>	Neutral	None deemed likely	N/A
4.12 <b>Veterans, Armed forces community</b>	Neutral	None deemed likely	N/A

**Human Rights**

Consider how a human rights approach is evident in the proposal

	neutral	What are the impacts and how do you know?
4.13 <b>Right to education</b>	neutral	None deemed likely
4.14 <b>Right not to be subjected to torture, degrading treatment or punishment</b>	neutral	None deemed likely
4.15 <b>Right to a fair and public hearing</b>	neutral	None deemed likely
4.16 <b>Right to respect for private and family life, home and correspondence</b>	neutral	None deemed likely

4.17	<b>Freedom of expression</b>	neutral	None deemed likely
4.18	<b>Right not to be subject to discrimination</b>	neutral	None deemed likely
4.19	<b>Other Rights</b>	neutral	None deemed likely

4.20	<b>Additional space to comment on the impacts</b>		

**Part 1**

**Section 5: Developing Understanding**

Based on the information you have just identified, please consider how the impacts of your proposal could be improved upon, in order to balance social, environmental, economic, and equalities concerns, and minimise any negative implications.

It is not expected that you will have all of the answers at this point, but the responses you give here should form the basis of further investigation and encourage you to make changes to your proposal. Such changes are to be reported in the final section.

<b>Taking into consideration your responses about all of the impacts of the project in its current form, what would you consider the overall impact to be on creating a fair, healthy, sustainable and resilient city?</b>	
5.1	Given the wide range of policy areas covered by the Neighbourhood Plan and its over all vision which responds to the issues, opportunities and challenges facing the area it is considered that the plan will have a positive impact overall on creating a fair, healthy, sustainable and resilient neighbourhood.

<b>What could be changed to improve the impact of the proposal on the One Planet principles?</b> (please consider the questions you marked either mixed or negative, as well as any additional positive impacts that may be achievable)	
5.2	No improvements considered necessary.

<b>What could be changed to improve the impact of the proposal on equalities and human rights?</b> (please consider the questions you marked either mixed or negative, as well as any additional positive impacts that may be achievable)	
5.3	No mixed or negative impacts on equality and human rights are considered likely.

**Section 6: Planning for Improvement**

<b>What further evidence or consultation is needed to fully understand its impact?</b> (e.g. consultation with specific communities of identity, additional data)	
6.1	The community has been widely consulted on the content of the Plan. No further consultation or further evidence is required. Members are being asked to formally make the plan in light of the positive referendum result.

6.2	<b>What are the outstanding actions needed to maximise benefits or minimise negative impacts in relation to this proposal?</b> Please include the action, the person(s) responsible and the date it will be completed (expand / insert more rows)
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Action	Person(s)	Due date



6.3	<b>Additional space to comment on the impacts</b>

**Part 2**

**Section 1: Improvements**

Part 2 builds on the impacts you identified in Part 1. Please detail how you have used this information to make improvements to your final proposal.

**Please note that your response to question 1.4 in this section must be reported in the One Planet Council implications section of reports going to the Executive.**

	<b>For the areas in the 'One Planet' and 'Equalities' sections, where you were unsure of the potential impact, what have you done to clarify your understanding?</b>
1.1	Given the wide ranging policy areas covered in the plan and the process taken so far in preparing the plan there are inherent links and good understanding of the one planet principles and equalities.

	<b>What changes have you made to your proposal to increase positive impacts?</b>
1.2	No changes considered necessary.

	<b>What changes have you made to your proposal to reduce negative impacts?</b>
1.3	No negative impacts anticipated.

	<b>Taking into consideration everything you know about the proposal <u>in its revised form</u>, what would you consider the overall impact to be on creating a fair, healthy, sustainable and resilient city?</b>
	Your response to this question must be input under the One Planet Council implications section of the Executive report. Please feel free to supplement this with any additional information gathered in the tool.
1.4	Given the wide range of policy areas covered by the Neighbourhood Plan and its over all vision which responds to the issues, opportunities and challenges facing the neighbourhood it is considered that the plan will have a positive impact overall on creating a fair, healthy, sustainable and resilient neighbourhood.

	<b>Any further comments?</b>
1.5	N/A





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**Executive****27 June 2019**

Report of the Deputy Chief Executive / Director of Customer & Corporate Services

Portfolio of the Executive Member for Finance & Performance

**Finance and Performance Outturn 2018/19****Summary**

1. This report provides a year end analysis of the overall finance and performance position. This is the final report of the financial year and assesses performance against budgets, including progress in delivering the Council's savings programme.

**Recommendations**

2. The Executive is asked to:
  - 1) Note the year end underspend of £153k and the unused contingency of £648k.
  - 2) Agree to transfer the overall underspend of £801k to the 2019/20 contingency pending consideration by the new Executive of budget amendment proposals to Council in July 2019
  - 3) Agree the proposals for the Housing Revenue Account balance outlined in paragraphs 42 and 43
  - 4) Note the financial risks outlined in the report and the need to continue to maintain a prudent contingency and reserves that is reflective of these risks
  - 5) Note the continued effective financial management across the Council and the ongoing delivery of savings
  - 6) Note the performance information set out in paragraph ?? onward

Reason: to ensure significant financial issues can be appropriately dealt with.

## Background and analysis

### Financial Outturn

3. The council's net General Fund budget for 2018/19 was £121.9m and the provisional outturn position is a net underspend of £153k (excluding unallocated contingency).
4. This position is consistent with previous years where expenditure has been within the overall approved budget. It is also consistent with the reports during the year which, whilst highlighting a number of pressures, have also set out the clear expectation that expenditure would be within budget. It maintains the council's overall financial health and provides a strong platform upon which to meet the further financial challenges ahead. Good progress has been made with achievement of savings in the year. Whilst some areas have experienced slight delays, as set out in the report, overall progress is good and areas of delay have generally been mitigated by other savings in relevant areas.
5. An overview of the outturn, on a directorate by directorate basis, is outlined in Table 1 below and the key variances are summarised in the main body of the report.

2017/18 outturn		2018/19 budget	2018/19 Monitor 3	2018/19 Draft Outturn
£'000		£'000	£'000	£'000
+147	Children, Education & Communities	23,089	+943	<b>+896</b>
-204	Economy & Place	16,577	nil	<b>-282</b>
-274	Customer & Corporate Services	20,564	-250	<b>-428</b>
+285	Health, Housing & Adult Social Care	49,724	+830	<b>+946</b>
-574	Central budgets	11,923	-350	<b>-1,285</b>
-620	Sub Total	121,877	+1,173	<b>-153</b>
-761	Unallocated Contingency		-648	<b>-648</b>
-1,381	Total	121,877	+525	<b>-801</b>

Table 1: Finance overview

6. Whilst the year end position is positive, there remain considerable financial challenges looking ahead into 2019/20 and beyond. The

February 2019 budget council report approved some £4m of savings in 2019/20 and progress in delivery of the savings, as well as dealing with underlying issues experienced during 2018/19, will again require careful monitoring.

7. The 2019/20 budget agreed in February 2019 provided for significant growth in adults and children's services budgets and makes proper provision for all known cost increases. This, combined with a track record of effective budget management, gives confidence in the council's future financial stability. This financial stability allows the council to invest in key priority areas. The budget for 2019/20, both revenue and capital, already provides for investment in key services.
8. The following sections provide further information on the financial outturn of each directorate.

### **Children, Education & Communities**

9. The outturn shows an overspend of £896k, an improvement from the Monitor 3 report.
10. Children's Social Care staffing budgets have overspent by a net £224k, mainly due to agency staff being used to cover important but hard to fill social worker posts.
11. In respect of Looked After Children there is a net overspend on placement costs of £961k, including £256k on local fostering, £469k on IFAs, £64k on Adoption and Special Guardianship Order allowances and £77k on direct payments. This is offset by a net underspend of £110k on out of city placements. There were an additional 10 placements in local fostering arrangements at the end of 2018/19 compared to 2017/18, and an additional 5 in IFAs, with a number of children moving in and out of IFAs during the year. The growth in IFAs is the main driver of the overspend and is due to the shortage of local foster carers. It was planned that the Make York Home project would result in a reduction in IFA placements and the overall budget would then be sufficient, however the difficulty in recruiting new local foster carers has hampered this strategy.
12. Local Area Teams budgets have underspent by £101k, mainly due to staff vacancies within the Healthy Child Service.
13. There is a net underspend of £201k within other Education & Skills staffing budgets due to a number of staffing vacancies within the Skills, Effectiveness & Achievement and Early Years Learning & Welfare teams.

14. The savings targets for the SEN element of home to school transport have not been achieved because of a growth in the number of pupils/students requiring transport and the specialist requirements of that transport accordingly resulting in a net overspend of £247k. The main increase in numbers have been at post 16/19 where because of the city now being able to provide more specialist education provision for this group of students more locally, subsequently we have had to provide more transport to the likes of York College, Askham Bryan, Choose 2, Blueberry Academy. The changes in legislation to allow EHCP's to ages 19-25 resulting in significantly more students accessing this option has significantly increased our transport spend accordingly.
15. The cost per pupil of transport over the last 3 years has gradually been falling as we have worked with our transport providers to increase the size of vehicles and reduce the number of passenger transport assistants. This indicates strong contract management processes are in place to reduce expenditure. As indicated above however the increase in numbers and need has impacted on expenditure. The option of renegotiating contracts has not been considered as we have driven down costs through the contract management process and we are clear that we would be unable to find a transport provider who could deliver a service for the volumes required and meets the high level of safeguarding assurances that we have set.
16. A number of other minor variations make up the overall directorate position.

### **Economy & Place**

17. The outturn position for Economy & Place is an underspend of £282k, an improvement from the Monitor 3 report.
18. A review of maintenance and safety arrangements, alongside the management and administration of fleet, has resulted in additional expenditure being incurred to ensure the continuing operation of a compliant service in line with the standards as directed by operating licence requirements, health and safety and duty of care to transport users. This has resulted in an overspend of £558k primarily on additional staff brought in to deliver the service more effectively. The introduction of more preventive maintenance will deliver a more efficient fleet which will, over time, bring costs down.
19. Income from council car parks was 4% above budget, resulting in a surplus of £232k. Whilst the first quarter of the year was slightly below budget, income over the summer was strong as the Rose Theatre and

good weather attracted visitors to the city centre. There has also been additional income from season tickets of £144k and additional income from Respark permits of £108k. There was a one off business rates refund for St Georges Field car park (£150k) and additional income from Penalty Charge Notices (£59k). These were offset by additional equipment and telecom charges of £105k.

20. There was an overall underspend on Waste Services totalling £78k however there are some variations within this overall total. There was an overspend of £228k in waste collection. Additional staffing has minimised the impact that sickness levels have had on the waste and recycling collections and reduced the necessity to co-mingle recyclate. It has also enabled necessary staff training to be completed without impacting the service.
21. There was an underspend of £247k (-4%) on the budget for disposal of waste at Allerton Waste Recovery Park. The tonnages delivered to the facility were lower than forecast resulting in savings to the councils as well as an opportunity for additional income to the councils from Yorwaste who delivered additional tonnes of their commercial waste into the facility (£150k). There was also a saving on procurement costs (£50k) and one off saving from backdated business rates at the facility (£39k).
22. A number of other minor variations make up the overall directorate position.

### **Customer & Corporate Services**

23. The outturn position is an underspend of £428k, an improvement from the Monitor 3 report.
24. The main variations include underspends across a range of services due to staff vacancies including business support (£92k), business intelligence (£76k) and ICT (£101k). Additional grants received, along with a number of staff vacancies following a restructure, have resulted in an underspend of £311k on housing benefits. These underspends are offset by an overspend in Registrars which is due to an underachievement of income. A number of other minor variations make up the overall directorate position.

### **Health, Housing & Adult Social Care**

25. The outturn position shows a net overspend of £946k, an increase from the Monitor 3 report.

26. There has been a £163k underspend on Contracted Services largely due to one contract coming to an end and a delay in starting two further contracts, along with a staff vacancy (now filled) and a small underspend on housing related support contracts.
27. There is an underspend of £127k on the Supported Employment scheme at Yorkcraft as places within the scheme have been held vacant pending a review of the supported employment offer.
28. There is a total underspend of £101k across the small day services, the largest underspend being on Pine Trees, a day support service for customers, which is £54k underspent due in the main to securing additional Continuing Health Care (CHC) income for two customers (£19k) and holding a small number of staffing vacancies (£31k).
29. The P&SI Community Support Budget (CSB) is £117k under spent due to having fewer customers than budget (£69k) and increased CHC income (£48k). In addition there is an underspend of £87k on the Learning Disability CSB budget largely due to the average cost per placement being less than assumed in the budget.
30. This is offset by an overspend of £111k on the Older People CSB budget due to an increase in the average cost of those placed outside of the tiered framework contracts. The home care model is under review as we look to reduce the use of these providers.
31. There was considerable pressure on the Department's external residential care budgets across all customer groups due to a combination of the number of customers being placed exceeding the budgeted number plus an increase above inflation of the weekly cost of placements. The pressure on placements was significantly affected by the closure of two homes during the autumn, both of which had been willing to accept council fee rates. Alternative placements were found for 78 individuals, but these were at higher rates.
32. There is an overspend of £180k on Mental Health external residential placements, due to having 3 more working age customers than in the budget. Similarly, the budget for P&SI residential placements has overspent by £78k due to having 2 more working age customers than budgeted for. We will be bringing forward proposals for Mental Health accommodation to reduce use of residential care for working age mental health.
33. The Learning Disability working age residential budget has overspent by £405k for a number of reasons: number of placements were higher than

budgeted (£256k), a reduction in CHC received (£111k), and an increase in the average cost of placements (£38k).

34. There was a £272k overspend in relation to nursing care across all customer groups with the majority of the pressure being felt in Older person's nursing care which overspent by £211k. The lack of vacancies in the city means customers are having to be placed in more expensive placements (£543k). In addition there are currently more customers than was assumed in the budget (£389k). This is offset by an increase in the average rate of customer contributions (£161k), 10 more CHC customers (£360k).
35. The Supported Living for Learning Disability customers has overspent by £695k. This is mainly due to above inflation rate increases and an increase in the number of voids. This is partially offset by increased CHC contributions of £276k. A dedicated task force is actively reviewing all schemes and considering the use and need to maintain the void placements to try and recover the position in 2019/20. This task force has already delivered significant savings as a result of reviews of individual support plans, revised care arrangements agreed with providers and identification of individuals' entitlement to CHC.
36. A number of other more minor variations make up the overall directorate position.

### **Housing Revenue Account**

37. The Housing Revenue Account budget for 2018/19 is a net cost of £6,473k due to the £10m investment from the working balance into providing new council houses. Overall, the account continues to be financially strong and the outturn shows an underspend of £1,549k, a small improvement from the monitor 3 position.
38. The working balance will reduce from £29.4m at 31 March 2018 to £24.5m at 31 March 2019. This compares to the balance forecast within the latest business plan of £23.0m.
39. Repairs & Maintenance has overspent by £97k, compared to a forecast underspend of £180k at monitor 3. There was an overspend of £600k on general repairs offset by an underspend of £503k on projects and other smaller schemes. The capital modernisation programme has not been fully delivered in 2018/19 and as a consequence of a delay in the retender of the tenants choice contract, a higher than forecast number of repairs have been charged through revenue relating to bathrooms and kitchens, in addition, 108 more void properties have been processed in 2018/19

than in 2017/18. An acceleration in gas servicing works has improved the compliance of gas servicing and more electrical tests were completed than forecast, improving the safety of our homes.

40. There was an underspend across General Management of £461k, an improvement of £392k since monitor 3. This was primarily due to lower than forecast corporate support recharges (£166k) and underspends arising from vacancies and charges to capital projects (£195k) in both the Housing Operations and Building Services Teams. Delays in undertaking stock condition surveys has meant funding identified for this work (£100k) is carrying forward into 2019/20.
41. Slippage of capital expenditure has resulted in the expected contribution to the capital programme from the revenue budget being reduced by £1m and these funds will be carried forward to 2019/20 to fund the slippage. The bad debt levels have reduced, resulting in an underspend of £264k.
42. Rental income was £227k lower than budgeted. This is due to a higher number of voids at Ordnance Lane pending the opening of James House. In addition, tenants have moved out of Lincoln Court pending the commencement of capital refurbishment.
43. There are a number of ongoing implications of the outturn position into 2019/20. It is necessary to reprofile the revenue contributions funding the capital programme slippage of £1,032k into 2019/20 and also the funding set aside for the stock condition surveys of £100k. This leaves a balance of £417k which will transfer into working balances.
44. The Housing ICT programme has been set up to replace over 27 housing and building services into one single modern ICT solution. This has now moved into the “Do” phase. A long term partnership has been initiated by signing contract with Capita PLC for the delivery of a new cloud based ICT system that will transform the way housing and building services offer services to customers, enabled by modern ICT. Whilst this is a capital project the costs relating to the backfilling of in-house experts is a revenue cost, which is required to ensure the success of such a large project. These costs are estimated to be £340k. It is proposed that £340k of the year end underspend is set aside to support this key implementation stage of the project, on a one off basis.

### **Corporate Budgets**

45. These budgets include treasury management and other corporately held funds. Significant slippage in the capital programme has been reported in the Capital Outturn report also on this agenda with some £30m that was



expected to be spent during the year now proposed to be spent in 2019/20 and 2020/21. This delay in spending has an impact on the treasury budgets through lower interest payments/debt repayment, resulting in an underspend of £574k.

46. The corporate redundancy and pension strain budget has underspent by £555k due to there being fewer redundancies that have resulted in additional pension strain costs, and an overall reduction in the costs of the pension strain. Pension strain costs are charged over a 5 year period, and as previous costs have dropped out the newer costs have been lower than forecast. These costs are difficult to forecast as they depend on the circumstances of the individual employee made redundant. These budgets also cover pension strain costs for teachers.
47. A number of other minor variations make up the overall outturn position.

### **Reserves and Contingency**

48. The February 2019 budget report to Full Council stated that the minimum level for the General Fund reserve should be £6.4m (equating to 5% of the net budget). At the beginning of 2018/19 the reserve stood at £7.4m and, as part of the budget report, approval was given to maintain this level of reserve in 2019/20 thus giving some headroom above the minimum level to take account of the continued risks facing the council, in particular the scale of future reductions on top of those already made. In addition, the budget report outlined significant risks associated with major capital projects, reduction in New Homes Bonus and health budgets. The report also contained a strong recommendation that revenue reserves should be increased over the next couple of years, in recognition of the current risks the council faces.
49. On the general contingency, the Executive was advised within the Monitor 3 report that there remained an unallocated balance of £648k and that it was being assumed this remaining balance may be needed to support some of the general pressures outlined in the Monitor 3 report. As the position has improved at outturn, this has not been necessary and it is proposed that the unused contingency is added to the 2019/20 contingency.
50. If approved, the transfer outlined above along with the base budget of £500k would take the balance on the general contingency to £1.3m. The level of reserves will remain at £7.4m.

## Loans

51. Further to a scrutiny review, it was agreed that these quarterly monitoring reports would include a review of any outstanding loans over £100k. There are 2 loans in this category. Both loans are for £1m and made to Yorwaste, a company part owned by the Council. The first was made in June 2012 with a further loan made in June 2017 as agreed by Executive in November 2016. Interest is charged on both loans at 4% plus base rate meaning currently interest of 4.75% is being charged. All repayments are up to date.

## Performance – Service Delivery

52. This report is based upon the Performance Framework for the Council Plan (2015-19) which was launched in July 2016 and built around the three priorities that put residents and businesses at the heart of all Council services. For 2019/20 Q1 report there will be a new Performance Framework based on the new Council Plan (2019-2023) approved by Executive.
53. The Council Management Team and Executive have agreed a core set of thirty indicators to help monitor the council priorities and these provide the structure for performance updates in the following sections. Some indicators are not measured on a quarterly basis. The DoT (Direction of Travel) is calculated on the latest three results whether they are annual, quarterly or monthly.
54. Of the strategic indicators where new annual data has been provided since the last reporting year, the following have seen improvements:
- % of panel who agree that they can influence decisions in their local area
  - Overall Customer Centre Satisfaction (%) - CYC
  - % of panel confident they could find information on support available to help people live independently
  - % of adults (aged 19+) that meet CMO recommendations for physical activity (150+ moderate intensity equivalent minutes per week)
  - Number of days taken to process Housing Benefit new claims and change events
  - FOI & EIR - % In time – (YTD)
55. The following indicators have been identified as having a poor direction of travel:
- % of panel satisfied with the way the council runs things
  - Net Additional Homes Provided (YTD)

## A Council That Listens to Residents

A Council That Listens to Residents							
	2015/16	2016/17	2017/18	2018/19	DoT	Frequency	Benchmarks
% of panel who agree that they can influence decisions in their local area	NC	25.65%	26.87%	28.95%	➡	Quarterly	National Community Life Survey 17/18: 26%
% of panel satisfied with their local area as a place to live	NC	89.84%	89.94%	88.61%	➡	Quarterly	National Community Life Survey 17/18: 77%
% of panel satisfied with the way the Council runs things	NC	65.54%	62.13%	57.33%	⬇ Bad	Quarterly	National LG Inform 18/19: 60%
Overall Customer Centre Satisfaction (%) - CYC	91.54%	92.48%	93.13%	94.15%	➡	Quarterly	Not Available

The DoT (Direction of Travel) is calculated on the latest three data points whether they are annual or quarterly.

56. The council carries out a number of consultation and research activities throughout the year, including: annual surveys, statutory research, one-off pieces of research and using Talkabout, our citizens' panel, which is comprised of a representative sample of around 1,000 York residents who are invited to complete a bi-annual survey to capture a variety of resident satisfaction measures across all areas of council business. Details of all other public consultations are available on the consultations page of the Council's website.

**% of residents who agree that they can influence decisions in their local area - this measure gives an understanding of residents' recognition about how we are listening and reacting to residents views**

57. The results from the latest (Q3 18/19) Talkabout survey found that 29% of panellists agreed that they could influence decisions in their local area which is higher than the latest national figure of 26% (Community Life Survey 2017/18). 92% of respondents think it's important that residents can influence decisions in their local area.

### Armed Forces Covenant Community Survey

58. The Armed Forces Community Survey ran from 11 February to 1 April 2019. The survey aimed to capture something of the size and characteristics of the Armed Forces Community who live among the wider York community in order to better commission and target local services. It takes place as part of a larger data research project commissioned by City of York Council to assess the needs of the Armed Forces community in North Yorkshire.
59. 241 members of the Armed Forces community participated which included current and ex-service members or relatives of.

60. Key results included:

- 65% of respondents had heard of the Armed Forces Covenant.
- 49% of respondents said they had been treated differently due to a connection to the armed forces. 71% of comments related to negative experiences and employment and public perception appeared frequently however the 29% of positive comments also frequently mentioned these two areas.
- 86% of respondents were satisfied with their local area as a place to live and 65% felt part of their local community (80% of Talkabout panellists feel they belong to their local area). When asked what the barriers were the most frequent answers were public perception or understanding, lack of events, work commitments or lack of support to get involved.
- 8% respondents had experienced homelessness.
- 20% of the respondents live in council properties.

61. Examples of other major consultations active during Q4 2018-19 include;

- **York Business Accommodation Survey** - A consultation seeking York businesses' views on their premises to support the development of the city's long-term economic strategy.
- **York Outer Ring Road** - We asked for feedback on proposed improvements to the York Outer Ring Road roundabouts at Clifton Moor and Wigginton Road.
- **Minster Precinct Neighbourhood Plan** - We asked for comments on the Minster Precinct Neighbourhood Plan consultation
- **Bishopthorpe Road Parking** - We asked for feedback on four options to improve visibility for pedestrians.

### **Castle Gateway**

62. The first planning applications relating to the Castle Gateway Masterplan are due to be submitted in early summer 2019; we asked for opinions to shape the initial 'work package', which includes a multi-storey car park at St George's Field and a residential development in the Castle Mills area. Residents were invited to feedback on plans through an online survey.

*% of residents who have been actively involved in redesigning and delivering services - this measure gives an understanding of residents' recognition about how they are involved in service redesign*

### **Future Focus**

63. The Adult Social Care community led support model continues to develop. Talking Points are community locations that offer residents the opportunity to have a face to face conversation with social care staff. By the end of May there will be 6 Talking Points in operation. Talking Point appointments have been increasing and nearly 300 customers have now

been seen, either by appointment or as a 'drop-in'. As a result of offering this option, these customers have been seen more quickly with an average waiting time during the year of approximately 8.5 days as opposed to 9 weeks. The quick response reduces the risk of customers experiencing a crisis situation and enables the social care team to provide a more proportionate response earlier on in the social care process.

64. A number of very positive outcomes for the project have been identified. In particular:
- The percentage of customers going on to receive paid for services was 35% (compared with 78% in Q2 2017/18) with 40% of those seen being given advice, information and support meaning they needed no ongoing services.
  - More than 95% of people invited to the hub have said they were satisfied with the experience and, most importantly, their outcomes from attending a Talking Point. More than 95% of residents seen said they would recommend the Talking Point option to others and more than 99% reported feeling welcome when visiting a Talking Point.
65. Moving into 2019/20, changes will include the amalgamation of existing teams into a new Adult Social Care Community Team which should lead to a further increase in Talking Point appointments and new ways of staffing these. This, in addition to the implementation of more streamlined community led support paperwork and a change in working processes should mean that all people waiting for support should be seen more quickly.
- Voice of the Children**
66. York Youth Council (YYC) continues to work with colleagues from the North Yorkshire Youth Executive and the North Yorkshire Police Youth Commission. The working group is now known as 'North Yorkshire Young Minds Combined' and they continue to look at ways they can improve the awareness of existing mental health services.
67. Representatives from the YYC attended "Convention 3" in Leeds in January. They took part in a variety of workshops looking at the Make York Mark results. Workshops were centred around knife crime, mental health and work experience.
68. Participation opportunities for young people in care and care leavers continue to be delivered via the Children in Care Council (CiCC). This includes monthly Show Me That I Matter panel meetings (13-17 yrs), monthly meetings of the Care Leavers Forum, I Still Matter (17-21 yrs) and fortnightly Speak Up Youthclub sessions (10-16yrs). Activity has included taking part in sessions with York Human Rights, providing

feedback on Young People missing from Care and meeting with Health workers to discuss health assessments. Other activity has included:

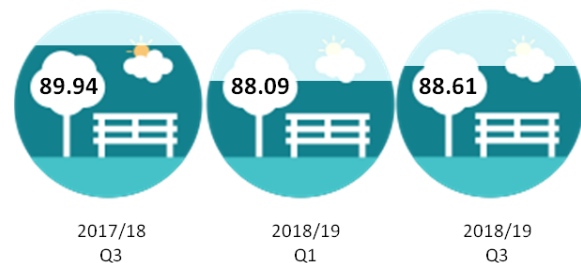
- A pilot with the Assessing Permanent Carers team to gather young people's views to inform the assessment process when recruiting foster carers
- Meeting with members of the Virtual School to talk about support in school
- Starting work on the 2019 Guarantee for Children and Young People in Care (the assessment of need entitlement)
- Joint work with North Yorkshire Young Minds Combined
- Advocacy casework for children and young people who are in care or leaving care, going through the child protection process or wanting to make a complaint has continued to be provided
- The CiCC and Care leavers Forum have met with the Director, Assistant Director, Service Manager for Pathway and Elected Members to feedback on the work they have been doing.

**% of residents satisfied with their local area as a place to live - this measure gives an understanding of residents' views about the area and the quality of their ward / neighbourhood**

69. The next version of the Talkabout Survey will be sent to the panel during May 2019 and the results will be published in a future Monitor.

70. The results from the latest (Q3 18/19) Talkabout survey showed that 90% of the panel were satisfied **with York** as a place to live and 89% **with their local area**. Satisfaction levels for the local area continue to be significantly higher than the latest national figures of 77% (Community Life Survey 2017/18) and 78% (Local Government Association Poll October 18).

% of panel satisfied with their local area as a place to live



71. Where residents indicated that they were dissatisfied **with York** as a place to live, the most common reasons were crime and anti-social behaviour (mainly city centre drinking), economy and transport (largely traffic issues), value for money and residents not being at the heart of decision making. Where residents were dissatisfied **with their local area** as a place to live, the most common reasons were crime and anti-social behaviour, public realm and highways. Specifically these concerns were about: intimidating and noisy groups of hen and stag parties; the lack of a police presence; the standards of street cleansing (including littering levels) and the poor quality of road surfacing.

72. 78% of respondents thought that their local area was a good place for children and young people to grow up. Where residents disagreed that their local area was a good place for children to grow up, the main reasons were safety, available activities or services and available green spaces.
73. 92% of respondents agreed that it was important to feel part of their local area with 80% agreeing that they did belong. These results have both increased from the previous survey and the latter is higher than the National benchmark scores of 62% in the Community Life Survey 2017/18 and 78% from LG Inform.
74. When asked if they agree their local area is a place where people from different backgrounds get on well together a decrease can be seen from 75% in Q1 to 68% in Q3. This is below the national figure of 82% from the Community Life Survey 2017/18.

**% of residents satisfied with the way the Council runs things - this measure gives an understanding of residents' satisfaction with frontline service delivery and the Council's responsiveness to residents' views**

75. The latest (Q3 18/19) Talkabout survey showed that 57% of respondents were satisfied with the way the Council runs things which is a further reduction compared to previous survey results. Satisfaction levels continue to be similar to the LG Inform benchmark figure of 60% for October 2018. Where residents indicated that they were dissatisfied with the way the council runs things, the most common reasons were public realm and highways.
76. The Council aims to deliver financial sustainability whilst improving services and outcomes for York residents and 43% of respondents agree that the Council provides value for money which is similar to the LG Inform benchmark figure of 44% for October 2018.

**% of residents who think that the Council and partners are doing well at improving the quality of streets/public spaces - this measure gives an understanding of residents' satisfaction with frontline service delivery and the Council's responsiveness to residents' views**

77. In the latest (Q3 18/19) Talkabout survey, panellists responded positively to one area indicating they believe the council are doing well in conserving York's heritage. Responses continued to reflect that panellists thought the council and partners could do more to reduce air pollution, improve the quality of streets/public spaces and encourage the use of low emission vehicles. The Low Emission Strategy (LES), introduced in 2012, has proved particularly effective at tackling emissions from service vehicles such as buses, taxis and Heavy Goods Vehicles which contribute to poor air quality in York. Work to improve the air quality includes the introduction of more electric Park & Ride buses, the continued roll-out of the new taxi licensing policy that specifies minimum emission standards for new or

replacement taxis and the delivery of the Strategic Electric Vehicle fast charge network in the city.

**Overall Customer Centre Satisfaction (%) - CYC - (being replaced with Digital service satisfaction 2017) - this measure gives an understanding of the quality of our face to face, phone and front office customer services (and in future our digital services through the CYC website)**

78. The Customer Centre offers advice and information on many services including benefits, council tax, housing, environmental, transport, educational, social care and planning. At the end of phone enquiries customers have the opportunity to complete a short phone based customer satisfaction survey or, when visiting West Offices, rate their experience via a feedback terminal. Customer Satisfaction remains high at the end of Q4, with 96% of people rating the service as either good or very good which is the highest ever recorded for this indicator.

### A Focus on Frontline Services

A Focus on Frontline Services							
	2015/16	2016/17	2017/18	2018/19	DoT	Frequency	Benchmarks
Children Looked After per 10k (Snapshot)	53	55	53	56	➡	Quarterly	National 17/18: 64 Regional 17/18: 71
Number of Incidents of ASB within the city centre ARZ	2,305	2,175	1934	2059	➡	Quarterly	Not Available
Household waste recycled / composted - (YTD)	42.80%	44.00%	43.00%	(Avail July 19)	➡	Quarterly	National 17/18: 43.2% Regional 17/18: 42.4%
Delayed transfers of care from hospital which are attributable to adult social care, per 100,000 population (YTD Average) (New definition for 2017/18)	6.9	7.5	6.4	6.6 (Prov)	➡	Quarterly	National 17/18: 4.3 Regional 17/18: 3.4
% of panel confident they could find information on support available to help people live independently	NC	65.46%	64.81%	72.52%	➡	Quarterly	Not Available
Proportion of adults in contact with secondary mental health services living independently, with or without support (YTD Average)	28.50%	39.21%	69.00%	(Avail Jun 19)	➡	Quarterly	National 17/18: 57% Regional 17/18: 69%
% of adults (aged 19+) that meet CMO recommendations for physical activity (150+ moderate intensity equivalent minutes per week)	66.71%	72.03%	76.40%	(Avail May 20)	⬆ Good	Annual	National 17/18: 66.30% Regional 17/18: 64%
Average Progress 8 score from KS2 to KS4	0.04	0.11	0.11	(Avail Oct 19)	➡	Annual	National 17/18: -0.03
Number of days taken to process Housing Benefit new claims and change events (DWP measure)	5.87	5.58	3.20	2.67	➡	Quarterly	National 18/19 Q3: 9.37 days
The DoT (Direction of Travel) is calculated on the latest three data points whether they are annual or quarterly.							

**Number of Children Looked After - this measure gives an understanding of the efficiency and effectiveness of a key front-line service which has impacts on vulnerability and the life chances of children**

79. There were 208 children and young people in care at the end of March 2019. The rate per 10,000 population has remained constant throughout 2018/19 against a national trend of increasing looked after numbers.



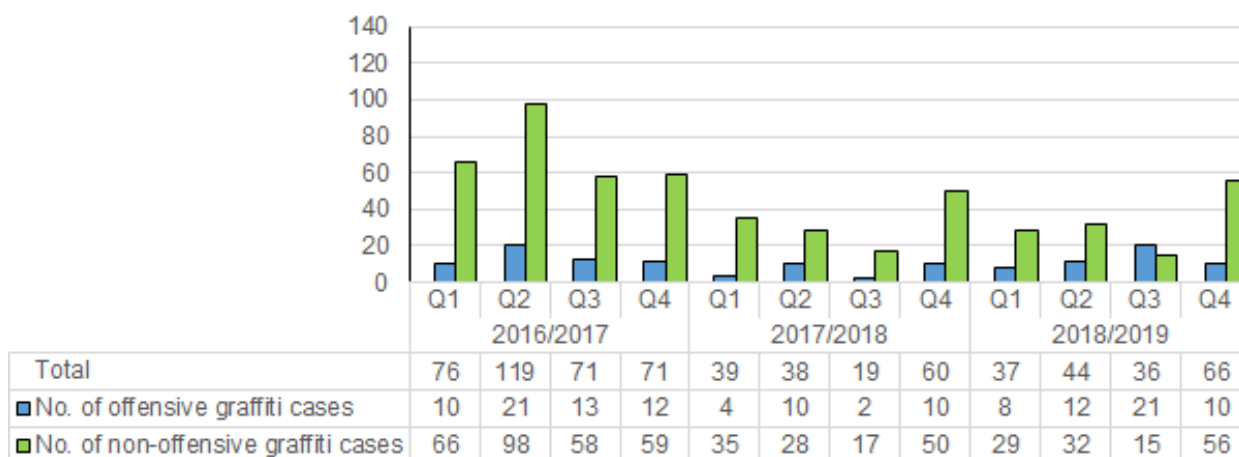
**Placement Review**

- 80. Despite the number of children in care remaining relatively stable over the past three years, the diversity and complexity of placement requirement is changing. York's specific and unique sufficiency profile means Children's Social Care are increasingly in a position where they are unable to place children and young people in existing Council provision and are having to spot purchase, often at short notice, external / private foster or residential placements that are expensive and may not be value for money in the context of the individual child.
- 81. In order to increase Foster Care sufficiency, a new training, support and remuneration offer has been developed for City of York Foster Carers. This offer has been consulted on with all Foster Carers and feedback was incorporated in the new proposal. The changes have been agreed and will be implemented in September 2019. Further work is also being developed on Foster Carer recruitment in order to develop a resourced systemic approach to recruiting new Foster Carers.
- 82. Residential sufficiency is also being considered and work is being undertaken to develop options of how additional residential provision for different age cohorts can be developed in order to meet need and demand more effectively.

**Number of Incidents of Anti-Social Behaviour within the city centre - this measure gives an understanding of the impacts of Anti-Social Behaviour on Leisure and Culture and therefore the "attractiveness" of the city**

- 83. Data for 2018-19 indicates a small increase in anti-social behaviour within the city centre, following a gradually decreasing volume in the last three years. An example of this is a rise in the number of cases of offensive graffiti reported from 25 in 2017/2018 to 41 up to Q3 in 2018/2019.

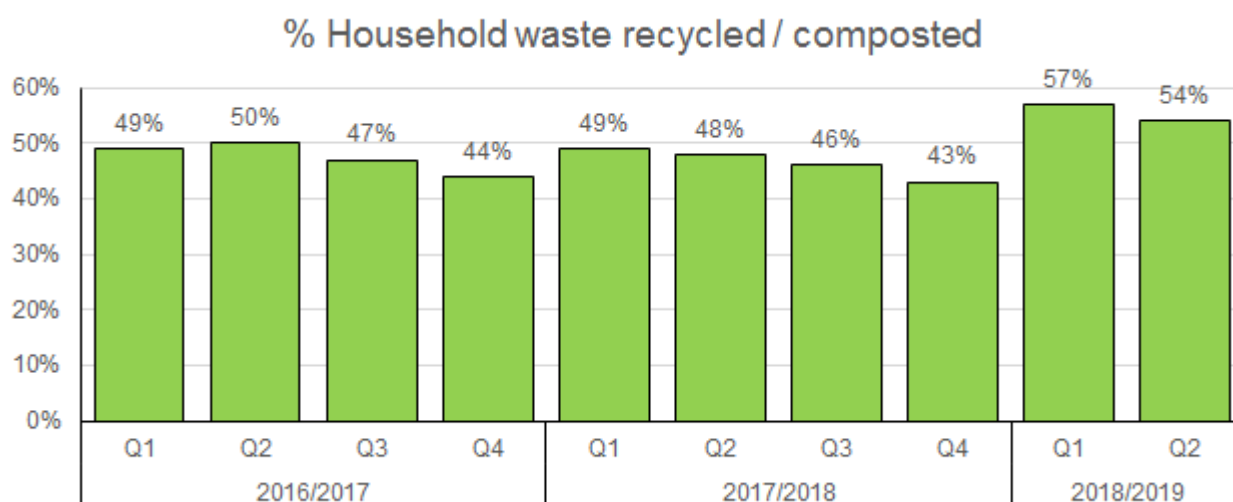
**Calls for Service - Graffiti**



- 84. Overall crime levels in York in 2018-19 have risen to 13,579 crimes compared to 11,958 in 2017-18 and this is due to a small increase in crime reports across a wide range of categories.

85. The number of service calls received due to “flytipping” concerns reduced from 2,276 in 2016-17 to 2,151 in 2017-18. Final figures for 2018-19 show a further reduction in calls received to 1,995. Figures for 2018-19 show that whilst the number of warning letters and formal cautions issued for fly tipping has reduced since the previous year, the number of prosecutions has increased.
86. In March 2019, the Neighbourhood Enforcement team was authorised to start issuing Fixed Penalty Notices for household duty of care offences. The Government has asked local authorities to set fine levels between £400 and £150 for this offence, with a minimum amount of £120 for early repayment. In York, the fine levels have been set at £250.00 with a reduced fee of £150 for early repayment within 10 days of issue. The introduction of these Fixed Penalty Notices contributes towards a range of initiatives developed by the Neighbourhood Enforcement team to combat fly-tipping.

Household waste recycled / composted (YTD) - this measure gives an understanding of a key outcome of the Council plan



87. Provisional figures for Q3 suggest the amount of household waste recycled in 2018-19 has increased slightly from 46% in the same period in 2017-18. It is worth noting that recycling rates are usually higher in the first half of the year and therefore could fall by the end of the year.
88. The amount of waste sent to Allerton Waste Recovery Park (in order to divert from landfill) in Q3 2018-19, has increased to 38,516 tonnes from 35,870 tonnes during the same period in 2017-18.
89. Residual waste (i.e. non recyclable) per household has increased to 427kg per household (410kg in Q3 2017-18) but this should also start to decrease from the initiation of the voluntary pledge, by supermarkets, to eradicate single-use plastics from packaging, with any remaining plastic in use will have to be totally recyclable by 2025.

Delayed transfers of care from hospital which are attributable to adult social care - this measure gives an understanding of how well our health and social care services are integrated

90. A delayed transfer of care (DToC) occurs when a hospital patient is deemed medically fit to be discharged, but cannot be released from hospital because they are waiting for community support to be arranged by the NHS and/or a local authority, or because the patient cannot agree where he/she should reside following discharge. The number of days that hospital patients are delayed in these circumstances are aggregated and measured to show how well NHS and local authority adult social care services are working together.
91. There continues to be a fluctuating trend in the number of days that patients are delayed leaving hospital that are “*attributable to adult social care*”. In 2017/18, on average, there were 6.4 beds occupied each day per 100,000 adults by York residents across the health and social care system that were attributable to adult social care. During 2018-19, this average increased to 6.6 beds per day for every 100,000 adults in York. Although it is increasing, patients are staying in hospital, on average, for shorter periods of time, which means that the system is getting better at supporting people to get where they want to be quickly, but against a backdrop of increasing numbers of unplanned or emergency admissions.

**Better Care Fund**

92. The Better Care Fund (BCF) provides CYC and the Vale of York Clinical Commission Group (VoY CCG) with finances to work together on a range of measures, including delayed transfers of care, aimed at improving outcomes for NHS and adult social care users in the City of York area.
93. The total number of bed days that patients resident in York have been delayed in hospital, for all reasons, during the last financial year was 10,969. This equates to 30 beds each day occupied (on average) because of DToC across the health and social care system. In the last 3 months, this figure was 2,601 bed days which equates to 29 beds each day. Current challenges are:
- Recent changes to the Continuing Healthcare ‘discharge to assess’ pathways have had unintended consequences in that they have increased the amount of DToC attributable to the NHS.
  - The closure of two large nursing homes in the city has impacted on the ability of Adult Social Care to place patients quickly.
  - The residential and nursing care markets continue to provide challenges as there is limited capacity for people to enter these homes.

% of residents confident they could find information on support available to help people live independently - this measure gives an understanding of residents’ ability to support themselves in line with new adult social care operating model

94. The results from the latest (Q3 18/19) Talkabout survey showed that 73% of residents were confident that they could find information on support available to help people live independently which is a 7% increase from Q1. The creation of the Live well York website during 2018, an information and advice community website for adults and families, along with the use of Talking Point venues across the city may have contributed to this increase in confidence.

**Admissions to Residential Care Homes**

95. Avoiding permanent placements in residential and nursing care homes is a good measure of how effective packages of care have been in ensuring that people regain control of their lives. Research suggests that, where possible, people prefer to stay in their own home rather than move into residential care.

- The rate at the end of Q4 2018-19 for younger adults (aged 18-64) requiring permanent residential and nursing care is lower than a year earlier, with 1.49 younger adults per 100,000 population being placed into these homes during 2018-19 Q4, compared with 2.99 per 100,000 population during 2017-18 Q4.
- For older people, aged 65 or over, the rates of those assessed as needing to go into residential care during 2018-19 Q4 was lower than in 2017-18 Q4 (104 per 100,000 population in 2018-19 Q4 compared with 109 per 100,000 population in 2017-18 Q4).

96. There are 1,306 registered care beds in York of which, 507 are residential care and 709 are nursing care, with the majority (around 80%) of these beds are specifically for older people. This is a reduction from the number previously reported following the closure of Moorlands and Amelia House. Additional care home accommodation is being developed in Fulford, New Earswick and at Burnholme.

97. The Older People's Accommodation Programme is focused on increasing the opportunities for older people to live well independently with a view to delaying and preventing the need for residential care. The programme has increased the provision of accommodation for Independent Living with Extra Care, including the recent extension of Glen Lodge, the current project to extend the accommodation at Marjorie Waite Court and provision of round the clock care at Auden House. The next phase of the programme will focus on identifying what is important to the city's residents about how and where they live in older age.

**Proportion of adults in contact with secondary mental health or learning difficulties services that are living independently - this measure gives an understanding of adults' social care users perception of their ability to support themselves**

98. Improving employment and accommodation outcomes for adults with mental health and learning difficulties are linked to reducing risk of social exclusion and discrimination. Supporting someone to become and remain employed is a key part of the recovery process, while stable and appropriate accommodation is closely linked to improving people's safety and reducing their risk of social exclusion.

- During 2018-19 Q4, 8.4% of those with learning disabilities were in employment, the same rate as reported during 2018-19 Q3.
- The percentage of those with learning disabilities living in their own home, or with family, was at similar levels in Q4 (75%) compared to Q3 (76%).

99. At the end of 2018-19 Q3, the latest data available to CYC, 22% of all clients in contact with secondary mental health services were in employment, which represents a similar level compared with Q2 (21%). The percentage of all adults in contact with secondary mental health services living independently, with or without support, was 84% at the end of 2018-19 Q3, unchanged from the level reported in 2018-19 Q2.

**% of Physically Active Adults (to be replaced by people engaging with Wellbeing service after launch) - this measure gives an understanding of the overall health of the cities residents**

100. The latest data from the Adult Active Lives Survey for the period November 2017 to November 2018 was published in April 2019. 492 people in York aged 16 and over took part in the survey and reported higher levels of physical activity, and lower levels of physical inactivity, compared with the national average. Positively:

- 73.1% of people in York did more than 150 minutes of physical activity per week compared with 62.6% nationally and 64.9% regionally.
- 14.4% of people in York did fewer than 30 minutes per week compared with 25.1% nationally and 22.5% regionally. Positively, this figure is considerably lower than the 19.4% reported for the period of May 2017 to May 2018.

101. Latest figures from the Public Health Outcomes Framework for 2017-18 show that 76.4% of York adults were physically active, compared with 66.3% nationally and 64.0% regionally. On a continued theme, only 13.8% of York adults were physically inactive compared with 22.2% nationally and 24.1% regionally. The data also shows that York has the 5<sup>th</sup> highest physical activity level and the 3<sup>rd</sup> lowest physical inactivity level in England.

Average Progress 8 score from Key Stage 2 to Key Stage 4 - this measure gives an understanding of educational attainment levels within the city

102. Progress 8 is a measure of the progress made by pupils between Key Stage 2 and Key Stage 4. A positive score represents progress above the average for all pupils and a negative score progress below the average for all pupils. In 2018, the average Progress 8 score for Year 11 pupils was +0.11, which is significantly above the national average. The Progress 8 score of +0.11 is the same as 2017 and again puts the city in the top quartile for all Local Authorities.

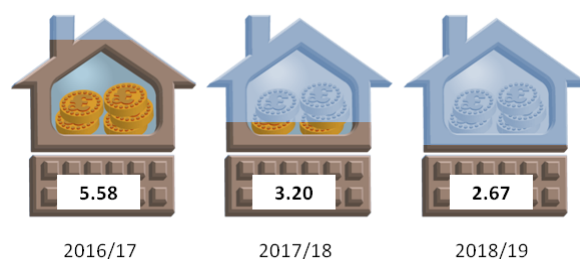
103. Reducing the attainment gap between disadvantaged pupils and their peers remains one of the City's main priorities. This year, Schools and partner agencies across York have signed up to a city-wide pledge to help disadvantaged pupils achieve at school. Work will prioritise actions needed to improve the outcomes for disadvantaged children in the early years in order to address issues early and close the attainment gap more sustainably. This year, School Improvement Projects which include a focus on narrowing attainment gaps include:

- Reading for Real – 8 Primary Schools
- Inclusion Expert – 4 Primary Schools
- Secondary attendance - 1 Secondary school
- Excellence for all – 2 Secondary and 2 Primary schools
- Making the difference with differentiation - 4 Primary schools
- Empowering the disadvantaged – 4 Primary schools
- Using metacognition and self-regulation training to improve outcomes for disadvantaged students – 2 Secondary schools

Number of days taken to process Housing Benefit new claims and change events - this measure gives an understanding of the efficiency and effectiveness of a key front-line service

104. Due to improvements in digital processes, performance in this area remains consistently strong in York with the average number of days taken to process a new Housing Benefit claim, or a change in circumstance, less than 2 days during 2018-19 Q4. York performance is also the best out of all other local authorities that we are benchmarked against (North and East Yorkshire, Lincolnshire and the Humber) and much higher than the national average of 9.1 days (2018-19 Q2).

Number of days taken to process Housing Benefit new claims and change events (DWP measure)



## A Prosperous City for All

A Prosperous City for All							
	2015/16	2016/17	2017/18	2018/19	DoT	Frequency	Benchmarks
%pt gap between FSM and non-FSM pupils at 15, who attain a Level 3 qualification by the age of 19 - (Snapshot)	32.00%	33.90%	(Avail May 19)	(Avail May 20)	➡	Annual	National 16/17: 25.2% Regional 16/17: 27.2%
Median earnings of residents – Gross Weekly Pay (£)	£496	£508.1	£519.3	£512.60	➡	Annual	National 18/19: £571.1 Regional 18/19: £520.9
Net Additional Homes Provided - (YTD)	1,121	977	1296	291 (Apr-Sep 18)	➡	Half Yearly	Not Available
Business Rates - Rateable Value	NC	£247,348,791	£254,662,152	£255,782,931	➡	Quarterly	Not Available
One Planet Council - All Resources - Total CO2 (t)	NC	NC	NC	NC	➡	Annual	Not Available
% of panel who give unpaid help to any group, club or organisation	NC	64.30%	66.22%	64.72%	➡	Quarterly	National Community Life Survey 17/18: 64%

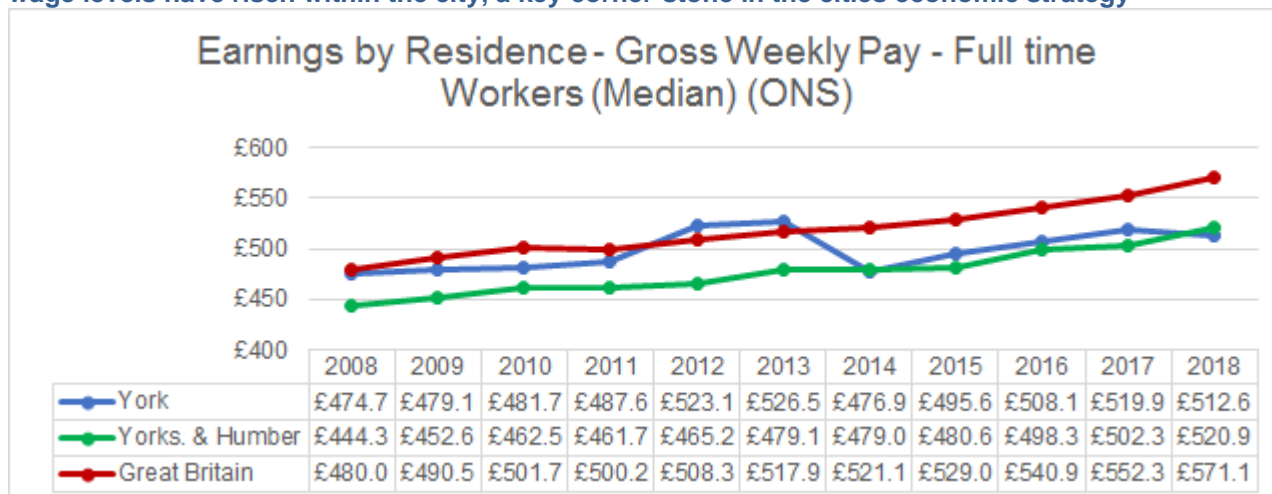
The DoT (Direction of Travel) is calculated on the latest three data points whether they are annual or quarterly.

**%pt gap between FSM and non-FSM pupils at 15, who attain a Level 3 qualification by the age of 19 - this measure gives an understanding of the inequality gap**

105. The attainment gap at age 19 is currently 33.9% in York compared to 25.2% nationally. Work continues with York's 6th forms and colleges to ensure that young people achieve their potential post-16. There has been good progress in recent years on the Level 3 attainment gap at age 19, the 16/17 result is a dip and we need to see if it is a "one off". Clearly this outcome is fed by indicators at earlier points; achieving a Level 2 outcome at 16 is a prerequisite for following a Level 3 programme post 16. This means that work with younger pupils is critical to sustainable improvement in the long term. The margins are small with this indicator – ten more disadvantaged young people achieving Level 3 at 19 would bring the York % gap below national. We intend to look at this issue with York College in particular in the summer term when the 17/18 data is available.

106. 2.7% of York's 16-17 year olds were Not in Education, Employment or Training (NEET) at the end of March 2019. However, 86% of those young people are not qualified to Level 2, demonstrating challenges before people are aged 19. Learning and Work Advisers from the council's Local Area Teams provide specialist information, advice and guidance to young people who are in the care of the local authority, those in alternative education provision, those in the youth justice system, and those aged 16-18 who are NEET.

Median earnings of residents – Gross Weekly Pay (£) - this measure gives an understanding of wage levels have risen within the city, a key corner-stone in the cities economic strategy



107. In April 2018, the median gross weekly earnings for full-time resident employees in York were £512.60, a decrease of 1.4% (excluding inflation) from £519.30 in 2017. Since the economic downturn of 2008 to 2009, growth (excluding inflation), has been fairly steady, averaging approximately 1.1% per year up to 2017. Nationally the increase was 1.5% and regionally, 1.3% over the same period.

108. As our Economic Strategy recognises, York has high levels of employment in low paid work such as retail, food and leisure, and tourism. To make housing in the city more affordable for residents, we need to grow more high paid jobs and support lower paid industries to be commercially successful so that they can increase pay. Our current strategy focuses on growing more jobs in better paid industries, while our city centre economy is driven by tourism, retail, food and leisure. During 2017 there were 5,000 additional jobs created. The majority of these jobs were in the professional, scientific, technical businesses and food and drink sectors.

#### City Centre Jobs

109. In 2016, there were a total of 20,500 people employed in York city centre, around 18% of all jobs in the city. Over the past 15 years, a net 4,250 jobs have been lost from the city centre, although the overall total number of jobs in the city was static. Public administration was the biggest loser among sectors in that period, with over 1,700 jobs lost, 50% of the 2003 total. Retail was the second biggest loss, with a 20% reduction in employment and 1,100 jobs lost. In the same period, employment in the food and drink service sector increased by over 1,000, a 40% gain, while the cultural and creative sectors gained a total of 800 jobs, a 700% increase.

#### Job Seekers Allowance (JSA) Claimants

110. The JSA figures should be viewed in the context of the number of people receiving Universal Credit in York increasing from 4,889 in February 2019



to 5,005 (provisional) in March 2019 (of which 2,014 were not in employment). Figures from the Office for National Statistics showed that;

- There were 180 JSA claimants in York in March 2019 which is a decrease from 185 in February 2019 and a decrease from 285 in March 2018.
- The claimant count for York represents 0.1% of the working population, which is lower than both the regional and national figures of 0.8% and 0.6% respectively in March 2019.
- Recent figures also highlight a fall of 10 in the youth unemployment count since March 2018. The youth unemployment figure is lower than both the regional and national figures of 0.6% and 0.3% respectively.

#### **Department of Work and Pensions**

111. Data released by the Department of Work and Pensions is published 6 months in arrears and the latest data relates to August 2018. The total number of claimants for either Income Support or Employment Support Allowance in York is 5,000, which is a decrease of 170 from May 2018. The claimant count represents 3.6% of the working population which is lower than both the regional and national figures of 7.6% and 6.8% respectively. Although these figures are the lowest in the region, due to the changes in the benefits system some of the data is transitional. The introduction of Universal Credit, for example, means that some people are still in the process of transitioning over. The Council provides a dedicated Universal Credit support service offering assistance with making a claim, managing a claim, support relating to monthly budgeting and any concerns over money resulting from a claim.

#### **Net Additional Homes Provided - this measure gives an understanding of how many new homes have been built in the city**

112. Data for the second half of 2018-19 will be available at the end of May. The first half of 2018-19 shows that, positively, there were 1,447 net housing consents granted which represents a continued high level of approvals. Of these approvals, 98.3% were for traditional housing sites which include the Former British Sugar Corporation Site, the Former Lowfield School Site and York St John University Playing Fields Site. Between April and September 2018 there were 291 net additional homes completed (this compares to a half yearly average of 550 additional homes completed during this period over the previous five years). Of these additional homes:

- 67.4% were completed on housing sites.
- 13.7% were a result of off campus privately managed student accommodation schemes which comprised the Former Herbert Todd & Son site in Percy's Lane and Abbeyfields Veterinary Centre in Clarence Street.

- 9.3% resulted from 'prior approval' i.e. sites benefitting from relaxed permitted development rights to allow conversion to residential use.
- 9.6% resulted from the development of over 55's accommodation.

#### **Planning Applications**

113. In the year to December 2018, the number of major planning applications processed within the required timescale (88%) has increased significantly from Q2, is above the 2017/18 year end figures, and is at the same level as the national and regional benchmarks. The number of applications has remained consistently at the same level (around 50 in the year to Dec 2018). In the year to December 2018, minor planning applications processed within the required timescale (77%) is at a similar level to previous years but is still below the national benchmark (85%). Whilst the number of applications has decreased slightly, the 83% performance in Q3 is a positive achievement.

#### **Business Rates - Rateable Value - this measure gives an understanding of how much money the Council is likely to receive to spend on public services**

114. The Government announced in the Budget on 29 October 2018 that it will provide a business rates scheme (Retail Discount) for occupied retail properties with a rateable value of less than £51,000 in each of the years 2019-20 and 2020-21. The purpose of this new discount is to support the 'high street' which has been affected by changes in consumer spending preferences such as online shopping. The relief is temporary for two years from April 2019. The relief could benefit as many as 1,500 hereditaments across the city on the basis of their current rateable value.

#### **Council Tax Collection**

115. The collection rate for Council Tax at the end of March 2019 was 97.6% which is higher than the corresponding period in 2017/18. The collection rate for Business Rates at the end of March was 98.7% which is higher than the target rate for collection.

#### **One Planet Council**

116. Work is continuing with One Planet York partners to explore how the council can assist in promoting a city-wide campaign, involving existing initiatives, to reduce single use plastics and the waste that they cause and to encourage people to drink more water. There are significant environmental problems with disposing of single use plastics, which release toxic chemicals when they break down, are a non-recyclable material and therefore a waste of energy and economic value, and also contribute significantly to waterway litter in York, which can then contribute to long lasting plastic pollution on beaches and in the marine environment. As a result the Council will work with partners such as Make It York to explore opportunities (such as licensing arrangements) to reduce the use of single-use plastic cups and food trays at events held on Council land, and the possible introduction of a 'code of conduct' for such events.

#### **Hyper Hubs**

117. The council has invested over a number of years in building Electric Vehicle (EV) charging infrastructure in council car parks, shopping parks and leisure centres. This has enabled the uptake of EVs in the city and the transition to cleaner fuels to be accelerated. Council owned charging points have usage rates of over 1,500 sessions per month, and have also been a catalyst for the use of electric buses on the Park&Ride network. York is an air quality management area with regular exceedances of NO<sub>2</sub> levels in urban highly populated areas due principally to transport emissions resulting in air pollution. The transition away from polluting vehicles is one which will benefit the health of residents and visitors in York. Members have agreed to proceed with planning and procurement processes to deliver rapid charging points for electric vehicles, or 'Hyper hubs', in strategic locations across the city, following a successful bid to the European Regional Development Fund (ERDF) for funding to support this scheme.

#### **Anti-Idling Measures**

118. Whilst air quality in the city is generally improving, York currently has exceedances of the annual average nitrogen dioxide objective at a number of locations around the inner ring road. The idling of stationary vehicles causes unnecessary pollution which harms public health and the environment, wastes fuel and adds to noise levels. Anti-idling awareness raising and campaigns will continue to be the primary approach to reducing stationary vehicle idling in York. Enforcement will only be used as a last option where a driver refuses to switch off an engine when asked. Anti-idling campaigns will focus on idling hotspots around the city centre and other locations such as schools and residential areas where complaints arise.

#### **% of residents who give unpaid help to any group, club or organisation - this measure gives an understanding of how much volunteering is currently being undertaken within the city**

119. The results of the latest (Q3 18/19) Talkabout survey showed that 65% of the respondents give unpaid help to a group, club or organisation which is comparable with the government's Community Life Survey 2017/18 which found that 64% of respondents reported any volunteering in the past 12 months.

## Other Performance

Organisational Health Check: Performance, Employees and Customers							
	2015/16	2016/17	2017/18	2018/19	DoT	Frequency	Benchmarks
Red rated Major Projects - CYC	0	1	1	0	➡	Quarterly	NC
Amber rated Major Projects - CYC	5	5	6	8	➡	Quarterly	NC
Overall Council Forecast Budget Outturn (£000's Overspent / - Underspent)	-£876	-£542 (excluding contingency)	-£620 (excluding contingency)	-£153 (excluding contingency)	➡	Quarterly	NC
PDR Completion (%) - CYC - (YTD)	59.00%	75.90%	90.40%	86.34%	➡	Quarterly	NC
Staff FTE - CYC Total (Excluding Schools) - (Snapshot)	2,104	2,071.6	1972.2	2107.85	➡	Quarterly	NC
Average sickness days lost per FTE - CYC (Excluding Schools) - (Rolling 12 Month)	10.1	10.2	11.5	11.3	➡	Quarterly	CIPD (Public Sector) 16:17: 8.5 days
Voluntary Turnover (%) - CYC Total (Excluding Schools) - (Rolling 12 Month)	7.00%	7.60%	7.55%	7.82%	➡	Quarterly	NC
% of staff who would recommend the council as a good place to work	NC	NC	NC	72.00%	➡	Quarterly	NC
% of external calls answered within 20 seconds - CYC	88.15%	89.01%	89.75%	87.70% (2018-19 Q1)	➡	Quarterly	SSAC Industry Standard 17/18: 80%
% of complaints responded to within 5 days	NC	75.40%	58.76%	56.90% (2018-19 Q4)	➡	Quarterly	NC
FOI & EIR - % In time - (YTD)	95.60%	93.14%	89.20%	90.86%	➡	Quarterly	NC
Digital Services Transactions / Channel Shift					➡	Quarterly	NC
The DoT (Direction of Travel) is calculated on the latest three data points whether they are annual or quarterly.							

**Major Projects - this measure gives an understanding of the performance of the large projects the Council is currently working to deliver**

120. At the end of March 2019 there were 20 major projects in progress, an increase from 14 at the end of December 2018. The Allerton Waste Recovery Park project has closed as the facility is now operational. Officers will continue to oversee the joint working until the shared arrangements with NYCC are in place. Seven new major projects have been added to the portfolio since December 2018. These are:

- Smart Travel Evolution Programme (STEP)
- Procurement of ICT Services
- Flood Risk
- City Centre Access Project
- Parking Review
- Sufficiency Strategy
- Inclusion Review

121. Each project is given a status to give an overview of significant risks and provide assurance as to how individual projects are being managed. 8

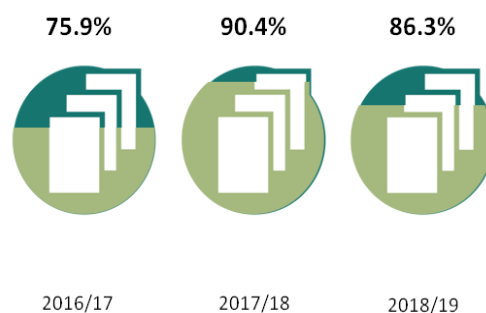
projects are rated “Amber” and 12 are rated “Green”. The Guildhall project is now rated as ‘Green’ as the tender process has been completed and a successful contractor has been selected.

## Performance – Employees

**Staffing PDR Completion Rates - this measure gives an understanding of how we making sure that the organisations strategic aims, goals and values are being passed to all employees**

122. City of York Council is committed to developing confident, capable people working positively for York. As part of that commitment, all colleagues are entitled and encouraged to reflect on their performance and discuss future aspirations and work goals through regular one to ones and an annual Performance and development Review (PDR) appraisal. The annual PDR process starts in May and, by the end of March 2019, 86.3% of PDRs had been undertaken, a decrease from 90.4% at the same point in the previous year.

PDR Completion (%) – CYC - Snapshot



**Staff Total - this measure gives an understanding of total numbers of staff, and our staffing delivery structure**

123. At the end of March 2019 there were 2,574 employees (2,108 FTEs) working at City of York Council (excluding schools), an increase of 13 individuals (11 FTEs) from the end of Q3.

124. As per the Council’s commitments to be an equal opportunities employer and city, and to fulfil transparency commitments, the council has looked at its Equalities profile. Two snapshots of data were taken from September 2017 and September 2018, data excludes Councillors, casual and school staff. Facts from this are:

- 48% of staff preferred not to state their religion, or it wasn’t recorded
- 73% of staff were white British, 23% didn’t specify their ethnicity and 4% were from black and minority ethnic backgrounds
- The most prevalent age range for staff was 50-59
- Females made up just over 60% of the total number of staff
- 74% of staff said they were not disabled, with 22% preferring not to say. For those who stated that they had a disability, a long standing illness or ‘other’ disabilities were the highest recorded types.

**Average sickness days lost per FTE (12 Month rolling) - this measure gives an understanding of the productivity of the organisations employees**

125. At the end of March 2019 the rolling 12 month sickness days per FTE figure has decreased to 11.3 days (from 11.7 at the end of Q3). Proposals for tackling absence were agreed to Executive in Autumn 2018 on the

future use of a dedicated external team to focus on attendance and work with managers and employees on a timely return to work. Work to procure this service is underway.

**Staffing Turnover - this measure gives an understanding of the number of staff entering and leaving the organisation**

126. Total staff turnover has increased slightly to 12.4% (from 12.1% at the end of Q3) over the rolling 12 months to March 2019 whilst voluntary leavers have remained steady at 7.8% (7.7% at the end of Q3). This level of staffing turnover is expected and in line with the council's changing service delivery models.

**Staff Satisfaction - this measure gives an understanding of employee health and their satisfaction with the Council and a place to work and its leadership, management and practices**

127. Throughout 2018/19, employees will be invited to complete six short surveys covering a range of topics including 'values and behaviours' and 'leadership and management', with feedback helping to shape and improve the organisation and make CYC an even better place to work. A report summing up wider organisation action will be created at the end of the survey process.

## **Performance – Customers**

**External Calls answered within 20 seconds - this measure gives an understanding of speed of reaction to customer contact**

128. The latest available data (2018-19 Q1) shows that the percentage of all external calls answered within 20 seconds was 88% which is well above the industry benchmark of 80%.

### **Customer Centre**

129. Our Customer Centre is the main point of contact for residents and business visitors. During Q4, the number of calls received increased to 55,564 (54,912 in Q3), with 94% answered (52,267). The increase in demand is seasonal and expected. During peak periods customers may experience increased waiting times and, although calls are typically not held in a queue for more than 44 seconds, customers can make use of the call back facility.

**% of complaints responded to within 5 days**

130. In 2018/19 Q4 the council received 348 stage 1 corporate complaints and responded to 56.9% of them within the 5 day timescale. This is a significant improvement from Q1 where 39.6% of complaints were answered within the 5 day timescale. Where timescales were not met, this was due to resource pressures in some service areas.
131. Additional resources have been provided to deal with and monitor complaints with work ongoing to:

- Ensure that complaints performance is monitored. Managers now have access to a dashboard of live reports relating to real time complaints and customer performance information;
- Refresh the corporate complaints policy and procedures along with the complaints IT system.

**FOI & EIR - % In time - this measure gives an understanding of our speed of reaction to FOI's**

132. In 2018/19 Q4, the council received 571 FOIs, EIRs and SARs. CYC achieved 89.5% in-time compliance for FOIs (Freedom of Information requests), 89.44% in-time compliance for EIRs (Environmental Information Regulations requests) and 77.42% in-time compliance for SARs (Subject Access to records requests). The council has achieved a full year in time compliance performance for FOIs and EIRs of 90.86% which is an improvement from 89.20% in 2017-18. This performance achievement is particularly noteworthy, given that there has been an overall increase in the number of requests received for the full year in 2018-19 of 11.7%, compared to 2017-18.

**Digital Services Transactions/Channel Shift**

133. The number of residents who came to West Offices during Q4 increased to 10,716 (10,656 in Q3). The average wait time was 5 minutes and 84% of residents were seen within the target wait time of 10 minutes. 13,345 business visitors came to West Offices during Q4 (12,557 in Q3). In addition to speaking with customers over the phone and face to face, the customer service team also responded to 9,882 emails.

134. Customers are also opting to access services using alternative ways;

- 1,879 made payments using the auto payments facility,
- 21,428 people used the auto operator (transfers to individuals and teams without speaking with a customer service team member),
- 68% of street lighting and street cleansing issues were reported by customers on-line
- 305 customers used the self serve option in the customer centre to access services
- Circa 7,500,000 pages of our website were reviewed (If a visitor visits a page more than once during the same session or in the relevant period, it is re-counted).

## **Procurement**

135. During 2018-19, work continued on embedding social value principles in all procurements and finalising the Councils commissioning strategy, as well as introducing council wide contract management guidance and effectively managing relationships with our key suppliers.

## Annexes

136. All performance data (and approximately 1000 further datasets) within this document is made available in machine-readable format through the Council's open data platform at [www.yorkopendata.org](http://www.yorkopendata.org) under the "performance scorecards" section.

## Consultation

137. Not applicable.

## Options

138. Not applicable.

## Council Plan

139. The information and issues included in this report demonstrate progress on achieving the priorities set out in the Council Plan.

## Implications

140. The implications are:

- **Financial** are contained throughout the main body of the report.
- **Human Resources (HR)** There are no HR implications.
- **One Planet Council / Equalities** There are no One Planet Council or equalities implications.
- **Legal** There are no legal implications.
- **Crime and Disorder** There are no crime and disorder implications.
- **Information Technology (IT)** There are no IT implications.
- **Property** There are no property implications.
- **Other** There are no other implications.

## Risk Management

141. An assessment of risks is completed as part of the annual budget setting exercise. These risks are managed effectively through regular reporting and corrective action being taken where necessary and appropriate.



**Contact Details**

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Ian Cunningham Head of Business Intelligence <i>Ext 5749</i>	<b>Report Approved</b>	✓	<b>Date</b> 12/6/19
<b>Wards Affected:</b> All			✓
For further information please contact the authors of the report			

**Background Papers:**

None

**Glossary of Abbreviations used in the report:**

BCF	Better Care Fund	JSA	Jobseeker's Allowance
CCG	Clinical Commissioning Group	LES	Low Emission Strategy
CHC	Continuing Health Care	NEET	Not in Employment, Education or Training
CMO	Chief Medical Officer	NHS	National Health Service
CSB	Community Support Budget	NYCC	North Yorkshire County Council
CYC	City of York Council	PDR	Performance and Development Review
EIR	Environmental Information Regulations	P&SI	Physical and Sensory Impairment
ERDF	European Regional Development Fund	SEN	Special Educational Needs
FOI	Freedom of Information	STEP	Smart Travel Evolution Programme
FSM	Free School Meals	VOA	Valuation Office Agency
FTE	Full Time Equivalent	YTD	Year To Date
ICT	Information and Communications Technology	YYC	York Youth Council



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**Executive****27 June 2019**

Report of the Director of Customer and Corporate Support Services  
(Deputy Chief Executive)  
Portfolio of the Executive Member for Finance & Performance

## **Capital Programme Outturn 2018/19 and Revisions to the 2019/20 – 2023/24 Programme**

### **Report Summary**

1. The purpose of this report is to set out the capital programme outturn position including any under or over spends, overall funding of the programme and an update as to the impact on future years of the programme.
2. The report shows an outturn of £77.402m compared to an approved budget of £106.291m, an overall variation of £28.889m.
3. The net variation of -£28.889m is made up as follows:
  - Requests to re-profile budgets of a net -£29.516m of schemes from 2018/19 to future years (currently approved budgets in the capital programme but requires moving to or from future years in line with a changing timetable of delivery for individual schemes)
  - Adjustments to schemes increasing expenditure by a net £0.627m.
4. The level of re profiling reflects the scale of the capital Programme, and in particular that it contains a number of major and complex projects. The overall capital Programme continues to operate within budget, due to careful management of expenditure against the budget.

5. The main areas of re-profiling included within the £29.516m include:

- £3.3m – *Electric Bus scheme*
- £2.931m – *Extension to Marjorie Waite Court*
- £2.333m – *Duncombe Barracks*
- £1.924m – *Commercial property acquisition*
- £1.500m – *Lowfield Housing*
- £1.745m – *James House*
- £1.435m – *IT development Plan*
- £975k – *DfE Maintenance*
- £933k – *York Central*
- £856k – *Community Stadium*

### **Recommendations**

6. The Executive is requested to

- Note the 2018/19 capital outturn position of £77.402m and approve the requests for re-profiling totalling £29.516m from the 2018/19 programme to future years.
- Note the adjustments to schemes increasing expenditure in 2018/19 by a net £0.627m
- Note the adjustments to schemes increasing expenditure in future years totalling £2.285m
- Agree to increase the Lowfields Housing Site budget by £4.1m funded from market sales receipts as set out at para 35
- Agree to appropriate HRA land to the General Fund for the development of Ashfield Football pitches at a market value of £25,285 as set out in Para 38
- Agree to draw down £213k from the 2019/20 capital contingency budget of £765k towards the additional cost of the two replacement cremators as detailed at paragraph 68.
- Approve the proposed addition YCK financial support towards continued first team playing arrangements at Bootham Crescent during the 2019 Rugby League Season, amounting to a net cost of £15,000 funded from the existing Project budget;
- Recommend to Full Council the restated 2019/20 to 2023/24 programme of £617.810m as summarised in Table 3 and detailed in Annex A

7. Reason: to enable the effective management and monitoring of the Council's capital programme

## Consultation

8. The capital programme was developed under the Capital Budget process and agreed by Council on 22 February 2018. Whilst the capital programme as a whole is not consulted on, the individual scheme proposals and associated capital receipt sales do follow a consultation process with local Councillors and residents in the locality of the individual schemes.

## Summary of Key Issues

9. A net decrease of £28.889m is detailed in this monitor resulting in a revised capital programme of £77.402m. There is an increase of £627k in 2018/19 attributable to the net effect of additional grant received in year. This is offset by a £29.516m decrease in 2018/19 due to re-profiling of budgets to future years.
10. Table 1 outlines the variances reported against each portfolio area.

Department	Current Approved Budget £m	Projected Outturn £m	Increase (decrease) £m	Reprofile £m	Total Variance £m	Paragraph Ref
Children, Education & Communities	7.067	5.342	<b>0.709</b>	<b>(2.434)</b>	<b>(1.725)</b>	12-19
Health, Housing & Adult Social Care – Adult Social Care	4.199	2.898	<b>(0.817)</b>	<b>(0.484)</b>	<b>(1.301)</b>	20-23
Health, Housing & Adult Social Care – Housing & Community Safety	26.957	17.187	<b>0.687</b>	<b>(10.460)</b>	<b>(9.773)</b>	24-46
Economy & Place – Transport, Highways & Environment	29.415	21.246	<b>0.107</b>	<b>(8.276)</b>	<b>(8.169)</b>	47-61
Economy & Place – Regeneration & Asset Management	10.179	6.227	<b>0.011</b>	<b>(3.963)</b>	<b>(3.952)</b>	62-68
Community Stadium	23.442	22.586	-	<b>(0.856)</b>	<b>(0.856)</b>	77-85
Customer & Corporate services	1.608	0.040	<b>(0.080)</b>	<b>(1.488)</b>	<b>(1.568)</b>	69-73
IT Development Plan	3.424	1.879	<b>10</b>	<b>(1.555)</b>	<b>(1.545)</b>	74-76
<b>Total</b>	<b>106.291</b>	<b>77.402</b>	<b>0.627</b>	<b>(29.516)</b>	<b>(28.889)</b>	

**Table 1 – Summary of capital outturn by department**

11. The following paragraphs set out the main variances and the requirements for re-profiling above £100k. All other variations below £100k are shown in the accompanying annex. All the explanations are based on movement against the approved monitor 3 position.

### **Children, Education & Communities**

12. Capital Expenditure within the Children, Education & Communities service area totalled £5.342m in 2018/19.
13. Total capital expenditure on DfE Maintenance schemes and Schools Electricity supply upgrade totalled £2.044m in 2018/19. This expenditure funded a number of significant repairs at Maintained schools across the city including major works at Huntington School, comprising Phase 3 of a major rewiring programme, Phase 1 of a window replacement programme and the installation of a replacement heating system in the sports hall and gym were completed during the summer with further rewiring work and a curtain wall replacement carried out in the autumn.
14. The refurbishment of Dringhouses Primary, comprising some re-roofing works, hall window replacement and a new boiler which was replacing an oil-fired system with gas was all completed over the summer of 2018
15. Smaller schemes were carried out at Fishergate, Headlands, Ralph Butterfield, Skelton and Yearsley Grove Primaries., with all work completed over the summer holidays
16. Phase 1 of the expansion work at St Mary's Primary was completed on schedule in time for the start of the 2018/19 academic year, with expenditure on the scheme totalling £377k during the year. This first phase consisted of a classroom reorganisation, also encompassing the reorganisation of toilets and cloakrooms to create much needed additional classroom space. Phase 2 is now scheduled for summer 2019, therefore funds of £223k have been transferred into 2019/20.
17. The Centre of Excellence for Disabled Children (Lincoln Court) scheme has been amended to reflect the receipt of an additional capital grant from NHS England of £254k towards the cost of the

scheme. This expenditure in 2018/19 was less than the amount predicted when the scheme was originally profiled, therefore the remainder has been transferred into 2019/20

18. Total Funds of £156k have been spent within the scheme for the Expansion and Improvement of Facilities for Pupils with SEND on works including internal alterations at Hob Moor Oaks to help in accommodating increased numbers of pupils in September. These were completed before the start of the autumn term. A large proportion of this work is still in the planning stages therefore funds of £115k have been transferred into 2019/20.
19. A capital grant of £200k was provided to York Museums Trust to enable the Trust to develop a major capital refurbishment scheme as part of the initial development phase, taking the scheme to funding application stage.

### **Health, Housing & Adult Social Care – Adult Social Care**

20. Capital Expenditure within the Adult Social Care service area totalled £2.898m in 2018/19.
21. Expenditure of £2.176m on the Older Persons Accommodation has led to a number of outcomes during the year. The Centre@Burnholme completed in May 2018, and is leased to Explore York who manage the building and room bookings. In accordance with the Executive approval to close Burnholme Community College, those existing users (Burnholme Nursery, York Community Church and Tang Hall SMART), have been supported to stay at Burnholme and now occupy modern, fit for purpose spaces in the new building. The Centre now also provides permanent bases for Healthwatch, York Learning and United Response, and Jorvik radio station will shortly be broadcasting from the site. The library and reading cafe attract a large number of customers, including mothers with young children, students and young people from Applefields school. The various meeting rooms and activity spaces have also been popular with community groups as well as the public and private sector and are fully booked out on certain days of the week. This, together with a range of events and activities in the hall, demonstrate the benefits of the building and its contribution to social cohesion in the Tang Hall/Heworth area.

22. The council has been successful in receiving £1.466m Accelerated Housing Delivery Funding to fund enabling works at Lowfield prior to the delivery of the housing scheme. These works include site clearance, sewer diversion works, provision of access road and design fees, in advance of the appropriation of the land into the HRA. The enabling works budget is part of the wider OPAP review budget at Lowfields and £392k of this grant has been utilised in 2018/19. The work is continuing in to the new financial year and the remaining grant of £1.074m will be received in 2019/20, as such the budget requires increasing to reflect this grant.
  
23. The disability support budget had an outturn position of £227k in 2018/19 which enabled the council to maintain a flexible approach towards supporting residents to remain in their own homw or relocate to a more suitable property. The council provides funding above the disabled facilities grant current maximum of £30k through the use of interest free loans and/or home appreciation loans, both are which funded from the DSB budget. One such case was an applicant a father with a young family requiring extensive work to enable them remain in their family home. A scheme costing nearly £57.5k was funded using a combination of all three funding streams. By funding this extension the applicant was able to access his home, and have wheel chair access in and around the ground floor of the main home and a ground floor bedroom with accessible bathing and toileting facilities

### **Health, Housing & Adult Social Care – Housing & Community Safety**

24. Capital Expenditure on schemes within the Housing & Community Safety service area totalled £17.184m in 2018/19.
  
25. The Local Authority Homes Phase 1 scheme has a capital outturn position of £634k in 2018/19. Phase 1 of the new council house building programme is nearing completion with 88 new affordable homes being completed across sites at Beckfield Lane, Pottery Lane, Fenwick Street, Archer Close, Hewley Avenue & Glen Lodge. In addition 12 shared ownership homes have been acquired across the city with a further 53 planned to be purchased in this programme.



Receipts from the sale of six shared ownership homes are required to be reinvested in to the programme and will increase the budget by £648k.

26. The final properties in this phase at Newbury Avenue & James House will all be completed in 2019/20, and Marjorie Waite Court will be completed in Winter 2020, providing a further 43 additional units
27. A proposed development of five houses at Chaloners Road has not been progressed due to the cost of flood mitigation measures and the refocusing of capital funding into the council's Housing Delivery Programme of over 600 homes across 8 much larger sites. Design and survey costs of £265k have been written off as abortive costs to the Housing Revenue Account. Officers will present alternative options for the site in due course.
28. At Newbury Avenue an approved scheme for 9 flats was reconsidered following representations on local housing need and replaced instead with a development of 5 bungalows. Costs of £156k associated with the flatted scheme were incurred therefore have now been written off to the Housing Revenue Account. These costs can be contained within the overall budget for the Newbury site. The contractor for the bungalow scheme is on site and due to complete in August 2019
29. The construction of the 27 home extension to Glen Lodge Extra Care facility in Heworth was completed in October 2017 and additional works to upgrade the older wing of the building to better integrate it with the newly developed units and enhanced communal dining facilities has been taking place throughout 2018/19. This scheme is expected to underspend by c£154k and these funds will be transferred to phase 2 of the council house building programme along with any other underspends from phase 1.
30. The show apartment is completed at James House and the offices and apartments are progressing well with all external works nearing completion. There have been delays on site due to asbestos finds and unforeseen additional works therefore funds of £1.749m have been transferred into 2019/20.
31. Work started in March 2019 on the extension of Marjorie Waite Court to create 29 new apartments, four bungalows and a community hall.

This work is due for completion in November 2020 therefore funds of £2,931k have been transferred into 2019/20.

32. The increased budget for the redevelopment and extension of Lincoln Court was agreed in March 2019 with the revised design addressing tenants concerns and feedback.. This will create 15 new fully wheelchair accessible properties and 20 fully refurbished units. To date the expenditure has been limited to design work, site surveys, planning and support for residents to move home on a temporary basis. Work on site is due to begin in June 2019 therefore funds of £117k have been transferred into 2019/20.
33. 5 houses have been purchased at a total cost of £541k under the Local Authorities Phase 2 Scheme to increase housing stock and maximise the 1-4-1 Right to Buy receipts which are time limited. Further houses are expected to be purchased in 2019/20 therefore funds of £541k have been transferred into 2019/20.
34. Tenders have now been returned for the Lowfield Housing Scheme and the contract will be awarded in May 2019. Land has been prepared for construction and start on site is expected in July 2019. This site will include 140 mixed tenure homes of which 56 will be affordable homes.
35. Following the receipt of the tenders a review of all costs and income across the scheme has been undertaken which results in the overall scheme continuing to be within the overall approvals envelope. Overall costs have increased by £4.1m from £22.5m to £26.6m (described below in further detail), however market sales, shared ownership equity sales and income from other contributions are forecast to be £22.1m (increase of £4.1m). It is proposed therefore to increase the overall budget for the scheme to £26.6m funded from this additional income.
36. Further to the July 2018 cost plan, detailed design work and further investigation of the site was carried out which has resulted in additional costs. The largest cost increase to the project has been incurred due to very poor ground conditions discovered further to the Phase 2 Site Investigation Survey. As a result of these ground conditions the houses require piled foundations and the site infrastructure requires additional stabilisation works.

37. The environmental standards of the scheme have also been enhanced since the original approval by the addition of solar panels to all properties with suitable roof orientations as well as selecting high quality building materials to ensure the scheme delivers excellent design which relates to the surrounding area. Further significant costs included Yorkshire Water's fees for the drainage diversion along with changes to the mechanical and electrical specification as a result of new regulation.
38. There is a condition attached to the Lowfield Planning Permission which requires development of three replacement football pitches prior to homes being occupied at Lowfield. There is also a separate planning permission to provide new pitches at the Askham Estate which is currently within the HRA. This HRA land requires appropriating into the general fund to support the Council's ambitions to develop the new pitches and lease this land to Bishopthorpe White Rose Football Club. The open market value is £25,285
39. With regard to the cost of the development, Executive (December 2017) approved £400k contribution towards the provision of the new pitches at Ashfield and noted that the cost of the project includes contributions from the developer as well as s106 contributions and support from the football foundation and football club. The scheme design has been finalised with a projected overall cost of £1,472.5k. This is to be funded from Lowfield enabling budget (approved) £400k, Football Foundation Grant £498.5k, Opens Space s106 contributions £110.7k, Lowfield Developer Contribution (HRA) £300k, Askham Bar Developer Contribution (HRA) £83.3k and contribution from Bishopthorpe White Rose Football Club £80k. The capital programme is amended to reflect the final funding position. Total spend on development of the scheme in 2018/19 was £123k funded from the Lowfield enabling budget with the balance of expenditure £1,350k anticipated in 2019/20
40. The Housing Environment Improvement Programme has provided 100 individual, external storage facilities across the City, providing vital storage for tenants and supporting Housing's Communal Space Policy. The programme has supported the provision of more than 40 parking spaces which will improve the safety of tenants in areas with high numbers of cars parking inappropriately. Some areas have benefitted from physical improvements which have been designed with tenants to improve the appearance of the area and deter

antisocial behaviour thus increasing safety for tenants e.g. landscaping and remodelling of bin storage areas.

41. The Disabled Facilities Grant (DFG) scheme has seen expenditure totalling £2.029m in 2018/19 which has seen a total of 274 grants completed, an increase of nearly 20% on the previous year. Customer satisfaction remains high with comments such as 'The team were excellent and I wouldn't hesitate to call again if needed' and 'everyone involved was brilliant and very helpful in all services provided to us'
42. The falls prevention team which is part of the DFG continues to receive excellent feedback from residents who are at fear of falling. With 3 falls prevention practitioners the service has expanded in to five wards as well as receiving referrals from identified partners. In 2019/20 the service will be reviewed and having brought in house the handyperson service already, the Council is looking to provide a holistic prevention service aimed at providing simple measures which will support residents to remain in their homes.
43. The Modernisation of Local Authority Homes Scheme has total capital expenditure of £1.349m in 2018/19 on major damp issues, upgrading of external door entry systems to flats, asbestos removals and installation programmes. Within this figure £751k has been spent on resolving major standing water problems in 32 households.
44. The Major Repairs Scheme has incurred total capital expenditure of £5.683m in 2018/19. Within this figure £2.292m has been spent on void capital works with 144 properties benefitting from major works including damp treatment, new kitchens & bathrooms, full rewires and plastering works, ensuring the properties meet decent homes standards. A significant number of tenants refuse TEneants Choice works whilst they are living in the properties, therefore this work emerges when the property becomes vacant.
45. 604 Households benefitted from new gas central heating systems this year which included SMART controls and 5 year manufacturer warranties. 32 new kitchens and 22 new bathrooms have also been fitted in 2018/19. These have been where the kitchen or bathroom needed replacing due to being beyond repair, or due to damp in the property. The planned roof programme saw 109 homes/ blocks benefitting from new roofing this year, and 240 properties have had replacement doors.

46. There are a number of requests to re-profile budget to future years within Housing & Community Safety Capital Programme totalling £10.460m and an increase in expenditure of £687k funded from capital receipts and contributions.

### **Economy & Place – Transport, Highways & Environment**

47. Capital Expenditure on various schemes within Transport, Highways & Environment totalled £21.246m in 2018/19.
48. The York Outer Ring Road scheme is progressing with £5.182m being spent in 18/19. This has seen the upgrade of the existing A1237/ Wetherby Road roundabout (widening approaches/ exits on A1237; widening of other arms and improvements to walking/ cycle facilities) to improve journey times at the roundabout. The new layout will allow dualling of the carriageways in future years. This was the first roundabout to be upgraded as part of the Outer Ring Road Upgrades scheme. Preparation work was carried out on the proposed upgrade to the A1237/ Monks Cross roundabout, which will be implemented in 2019/20
49. Development of Station Frontage scheme has continued throughout 18/19, with £732k being spent on various works including site investigation work for the Queen Street bridge. The planning application for the scheme was submitted in March 2019 with a decision expected in summer 2019
50. Works within the Highways Resurfacing & Reconstruction scheme and Highway, Footway & Cycleway improvement schemes have progressed with combined total expenditure of £4.299m in 2018/19. Large patching has been completed to 4,664m<sup>2</sup> of road surface and road surface repairs to 54,849m<sup>2</sup>. There have been 22 footways schemes completed repairing 12,917m<sup>2</sup>
51. The Built Environment Fund has a capital outturn position of £721k in 18/19. Within Fossgate Public Realm, work to create a more pedestrian-friendly environment and improve the junctions with Pavement and Merchantgate, following the changes to traffic flow in 17/18. This has included footway widening, construction of new build-outs for outdoor cafe space/ cycle parking, improved crossing

facilities, and resurfacing work. The scheme was completed in April 2019

52. Within the Dualling Study scheme, proposals have been developed for dualling the A1237 Outer Ring Road, including the development and submission of an Outline Business Case to the Department for Transport (DfT) for funding for the scheme. A decision from the DfT is expected in June 2019.
53. The Local Transport Plan has a capital outturn position of £1.911m in 2018/19 with progress being made on various sub schemes. The upgrade of traffic signals at 6 locations was completed in 18/19, including the completion of the Lendal Arch Gyratory scheme (work started on this scheme in late 17/18). The upgrade work has included improvements to footways and carriageway resurfacing where required.
54. Following the removal of the old bus shelter on Rougier Street in previous years due to development work on Roman House, a new bus shelter has been installed, which is one of the main bus interchanges in the city centre.
55. Progress on the Monks Cross Rapid Charger Hub was deferred while a bid for additional funding was submitted to the European Regional Development Fund (ERDF), as the additional funding would allow the scope of the scheme to be expanded. The ERDF bid was successful, and the revised scheme to provide solar canopies at P&R sites will be progressed in 19/20, therefore funds of £136k have been transferred into 19/20 to support this.
56. The P&R Token Barriers scheme has been delayed as the procurement process was longer than expected. A supplier has now been appointed, and the new token barriers at Monks Cross and Askham Bar will be installed in summer 2019.
57. The Rufforth-Knapton Cycle route was delayed as it took longer than expected to gain agreement with the landowner for the changes to the existing Public Right of Way to form part of the new cycle route. These have now been resolved, and preparation work started on site in late March, and the new path will be constructed in 2019/20. Funds of £213k have therefore been transferred into 19/20 to support this.

58. The repair and Renewal of the City Walls scheme is progressing with £209k being spent in 2018/19. Works this year have been carried out at Tower 39, Monk Bar steps and walls, Anglian Tower and ruins and Tower 32
59. The Scarborough Bridge Scheme is well progressed with funds of £2.735m being spent in 2018/19. This has seen the replacement of the existing footbridge with a new wider bridge, and construction of ramps linking to the riverside paths and the access to York Station. The new bridge was opened for use on 18 April, and the scheme will be fully completed by May 2019.
60. The Replacement of unsound lighting column schemes have a combined total capital expenditure of £2.028m in 2018/19. As part of this just over 2,000 street columns have been replaced (concrete and steel) with new columns. The new columns have 35 years life expectancy. The column replacement programme had the capacity to be accelerated and so future years capital allocations have been brought forward and as the columns are already life expired it was operationally beneficial to accelerate the programme
61. Other completed schemes include
  - the installation of height barriers at five P&R sites to improve security of sites;
  - improvements to bus shelters across the city;
  - resurfacing at the A19/ Crockey Hill junction following the junction improvement scheme completed in 17/18;
  - implementation of schemes to improve safety and address speed issues across the city;
  - upgrade of five Variable Message Signs on the outer ring road as part of the Smarter Travel Evolution Programme;

### **Economy & Place – Regeneration & Asset Management**

62. Capital expenditure on various schemes within Regeneration & Asset Management totalled £6.227 in 2018/19.
63. Capital expenditure on the York Central scheme totalled £4.402m during 2018/19. Outline planning application for the site (including the access road) was approved at Planning Committee in March 2019.

64. The York Central project has made significant progress over the period April 18 to March 19. Following comprehensive community engagement a Master Plan was agreed by the partnership and submitted for Outline Planning Consent in August 18, with a positive outcome at Planning Committee in March 2019. The planning decision is currently with the Secretary of State under the Call in procedure and we await a decision which is expected soon. This would then allow a Reserved Matters Application, subject to Executive approval, to be submitted this summer. Funding bids were submitted during 2018/19 and some funding is secured. The outcome of the bid to the Housing Infrastructure fund is still awaited. Procurement of the infrastructure delivery contractor is well advanced and additional design work has also been completed on the phase 1 Infrastructure to allow for early delivery when final approvals are in place
65. Work has continued within the Castle Gateway scheme on masterplanning for the Castle area, St George's Field, and Piccadilly with total funds of £658k being spent in 2018/19. Progress has been delayed slightly whilst final designs are completed prior to submitting planning applications. A report will be brought to Executive later in the year to update Members on the overall scheme and make the business case for the release of funding for the first phase of construction.
66. The Guildhall project has a capital outturn position of £696k in 2018/19. After reviewing various design options Executive (14 February 2019) approved a construction budget of £16.5m to stabilise the north tower, provide improved facilities and office space plus a restaurant. A contract has been awarded to Vinci and work will start during the summer.
67. Along with other West Yorkshire authorities who are part of the Leeds City Region Investment Fund, York has made a further contribution to the Fund to support new loans relating to Housing developments at Markington/ Mulgrave (£81k) and Thirstin Mill (£127k).
68. Under the Asset Maintenance scheme various works have been carried out during the year including work on stabilising St Crux churchyard walls and Crematorium roof repair. Work has started in repairs to the Central Library roof and will continue in 2019/20. Work is also nearing completion on replacing the fire alarm systems in the Shambles.



## Customer and Corporate Services

69. The tender for the new cremators for York Crematorium has now been received. The initial capital bid approved by full Council on 28<sup>th</sup> February 2019 was for £500,000 but the actual costs of replacing the two cremators is £713,084. This paper requests a draw down of additional capital in the sum of £213,084 to progress the procurement to award of contract.
70. The Council last procured cremators in 2012, since this time the market has contracted and only two major suppliers are in the market place, one being the existing provider with whom the council has terminated the contract.
71. The costs are for the procurement of the new cremators, but also include the decommissioning of the existing cremators and removal, the fitting of two new cremators into the existing space along with filtration kit and any alterations required to the building. The £500k was an estimate based on the cost of the cremators in 2012 and the necessity to replace with better quality compliant equipment - it was not possible to know what the actual costs would be until the final bids were received. There was only one bid received reflecting the need to request the increased capital amount reflected above
72. Within One Planet York a budget of £1.25m over the next 5 years was approved as part of the 2019/20 budget to support capital schemes to deliver higher environmental benefits than may otherwise be the case in projects such as the building of homes, or within projects such as the Guildhall or York Central. There is £60k already committed to the redevelopment of Lincoln Court to provide environmentally sustainable measures including photovoltaic cells for the building roof. Other initiatives across capital schemes are being developed, for example within the proposed St Georges Field MSCP scheme. Specific allocations will be recommended for approval to the Executive as part of the ongoing monitoring process
73. There remains a budget of £240k for the installation of Solar PV panels on council buildings. It is proposed that £137k of this budget is utilised to expand the PV system at Hazel Court. This will increase the number of panels from 241 to 631. The additional panels will provide 107.25KW and save 30,843kg of CO<sub>2</sub> in the first year

## Customer and Corporate Services - IT

74. The ICT development plan has a total outturn position of £1.879m in 2018/19. This expenditure has seen significant achievements made on individual schemes as follows:
- CRM - successful go live of the new Oracle database, Agent Desktop, Street Lighting and Street Cleaning processes, My Account, Street Lighting and Street Cleaning e-forms and Customer Satisfaction Surveys on 27th February. Good feedback was received from Customer Services and Back Office/Business Support staff on the improved design and performance of system. Penetration and security testing was carried out on My Account and newly developed eforms by an external company and no significant vulnerabilities were identified.
  - Replacement of Multi Function Devices and refresh of the print unit in West Office completed
  - Upgraded to a cloud hosted version of the Total Mobile product to ensure CYC are able to access the full functionality that this Software as a Service (SaaS) offer can provide in the future, including the potential to bring Harrogate Borough Council on as part of a potential shared service arrangement. Mobile working schemes are continuing to be progressed in Children's Social Care, Building Services, Adult Social Care, Highways and Environmental Health
  - MYLO (eLearning) successfully rolled out.
  - Registrars. The online booking system for customers to book their own birth or death registration appointments through the CYC website has gone live. This will provide customers with the ability to book marriage ceremonies at a later stage
  - latest version of the yorWellbeing site has been deployed and is now being used by the wellbeing team
  - CYC's Public Sector Network (PSN) accreditation has been secured for a further 12 months until Feb 2020
  - Lync has been upgraded to Skype for Business 2016, this allows iPhone/Android users to use the Skype for business client on their devices
  - Procured and implemented new search functionality, Cludo, which replaces the current site search, Google Search Appliance, on the [york.gov.uk](http://york.gov.uk) website

- system has been launched to enable the crematorium to manage their bookings which will also allow for collaboration with Funeral Directors
- All CYC databases are now being backed up to the Microsoft Azure cloud for increased resiliency
- Work is progressing well on the upgrade of the Citrix farm and the roll out of Windows 10 and Office 2016 applications with trials in place to identify and rectify any identified problems prior to full corporate roll out alongside appropriate training during Q2 2019/20
- A pilot of Office 365 has commenced with plans to initially provide email services across the estate autumn/winter 2019
- Development of the emergent overarching Digital Services Programme continues to progress ensuring the effective delivery of work across our five themes: City, Process, Staff, Customer and Infrastructure

75. Within our Digital City programme, York's digital connectivity platform continues to expand through a combination of the active Talk Talk Ultra Fibre Optic (UFO), Virgin Media, Superfast West Yorkshire & York BDUK and our wireless expansion programmes. UFO connectivity is now available to more than 33,000 homes and businesses and is on track to reach up to 55,000 across York by the end of 2020. Investment of around £55million is being spent to enable a 70%+ fibre penetration within York. (Current statistics state the UK average is less than 3%) . Virgin media reaches approx. 12,000 homes in York. Wi-Fi services have become a very prominent feature of the City's digital connectivity landscape, with external coverage across most of the city centre, within its key open spaces, some urban shopping areas, park and ride and regional bus services. Expansion plans for external Wi-Fi coverage over the coming year include the new Community Stadium, Clifford's Tower and Coppergate
76. Total funds of £1.555m require re-profiling into 2019/20 in relation to the IT Development Plan overall. This is to allow the delivery of planned corporate projects including the completion of the replacement of the Citrix Server farm, the further development of the Digital services programme and other essential ICT works that have been deferred in terms of commencement until the 2019/20 financial year

## Community Stadium

77. The Community Stadium capital scheme has an outturn position of £22.586m in 2018/19. The main structure is complete and the contractor is fitting it out and laying the pitch. Work is expected to be complete in the autumn
78. The building contractor is anticipated to complete on site works early in September and there will then be a period of a few weeks where our leisure operator will mobilise the site ready for public opening. This post build mobilisation period will include the Stadium gaining all required safety and licence certification.
79. The adjacent Commercial Development to the Stadium is also well advanced in its construction and has confirmed lettings for; IMAX Cineworld, Hollywood Bowl, Adventure Mini Golf Centre, Zizzi's and TGI Friday restaurants, with all of these, together with the Council leisure facilities, representing a significant leisure offering for residents
80. Executive are reminded of the outstanding capital funding risk relating to the East Stand Restaurant Units Capital Land Receipt, which has been reported previously. There are 3 restaurants units within the Stadium East Stand and if at build practical completion 2 of these 3 units are let the full outstanding Capital Land Receipt sum of C.£3.8m will be received by the Council. If at build practical completion no East Stand Restaurant Units are let a reduced Capital Land Receipt of C.£2.4m will be received (a reduction of C.£1.4m on full anticipated receipt). If 1 East Stand Restaurant Unit is let by build practical completion a Capital Land Receipt of C.£3.1m will be received (a reduction of C.£700k on full anticipated receipt). Currently none of these East Stand Restaurant Units are let and this risk remains as highlighted and approved by Executive in the October 2017 Stadium Executive Report. The Council is working closely with the developer, Wrenbridge who are responsible for letting of the units prior to build practical completion. Discussions are currently ongoing with several potential tenants for these East Stand Restaurant Units
81. In September 2018 Executive approved the current 2019 York City Knights (YCK) financial support for all YCK first team home fixtures played at Bootham Crescent. At the time of such approval, it was anticipated the Stadium would be operational within the current 2019 Rugby League Season and YCK would be playing a selection of the

2019 Rugby League season games from the Stadium, therefore the funding approval reflected only a part season

82. YCK will now be playing all 2019 Rugby League Season (including play off) games from Bootham Crescent and as such the funding currently approved requires amending to reflect this position. Current approval is for Council financial support payable up to a net cost of £30,000, with a total cap of £40,000 as YCK contribute towards 25% of the Bootham Crescent costs through rental payments to the Council
83. The YCK financial support over the previous two Rugby League seasons (2017 & 2018), where all YCK home fixtures were played at Bootham Crescent, was capped at a maximum cost to the Council of £45,000 per Rugby League season
84. It is recommended the current YCK financial support be amended to reflect that all 2019 Rugby League season games will now be played at Bootham Crescent. As such, the 2019 YCK financial support will revert to the same CYC funding support value and principles as the 2017 + 2018 Rugby League seasons financial support
85. The additional £15,000 cost to the Council from the revised YCK 2019 financial support will be met from within the existing approved Project budget. Interim financial support will cease once the new Stadium is operational for YCK

### **Funding the 2018/19 Capital Programme**

86. The 2018/19 capital programme of £77.402m has been funded from £39.845m external funding and £37.557m of internal funding. The internal funding includes resources such as revenue contributions, Supported Capital Expenditure, capital receipts and reserves.
87. The overall funding position continues to be closely monitored to ensure the overall capital programme remains affordable and is sustainable over the 5 year approved duration.

## Update on the 2019/20 – 2023/24 Capital Programme

88. As a result of this report amendments have been made to future year's capital programmes as a result of re-profiling schemes from 2018/19 to future years as set out above.
89. The restated capital programme for 2019/20 to 2023/24 split by portfolio is shown in table 3. The individual scheme level profiles can be seen in Annex 1.

		<b>2019/20 Budget</b>	<b>2020/21 Budget</b>	<b>2021/22 Budget</b>	<b>2022/23 Budget</b>	<b>2023/24 Budget</b>	<b>Total Budget</b>
		<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>
CEC	Children's, Education & Communities	23.233	11.746	10.500	2.000	0.000	<b>47.479</b>
HH & ASC	Adult Social Care & Adult Services Commissioning	7.371	0.595	0.616	0.638	0.660	<b>9.880</b>
HH & ASC	Housing & Community Safety	55.860	57.141	42.412	24.069	36.597	<b>216.079</b>
E&P	Transport, Highways & Environment	78.219	24.735	15.731	8.725	5.225	<b>132.635</b>
E&P	Regeneration & Asset Management	87.901	67.484	26.754	1.198	0.220	<b>183.557</b>
CCS	Community Stadium	10.143	-	-	-	-	<b>10.143</b>
CCS	Customer & Corporate Services	3.482	0.525	0.500	0.450	0.250	<b>5.407</b>
CCS	IT	5.085	1.535	1.870	2.070	2.070	<b>12.630</b>
	<b>Total</b>	<b>271.294</b>	<b>163.761</b>	<b>98.383</b>	<b>39.150</b>	<b>45.022</b>	<b>617.810</b>

**Table 3 – Restated Capital Programme 2019/20 to 2023/24**

90. Table 4 shows the projected call on Council resources going forward.

	2019/20 Budget	2020/21 Budget	2021/22 Budget	2022/23 Budget	2023/24 Budget	Total £m
<b>Gross Capital Programme</b>	<b>271.294</b>	<b>163.761</b>	<b>98.383</b>	<b>39.150</b>	<b>45.022</b>	<b>617.810</b>
Funded by:						
External Funding	151.631	74.658	25.068	5.575	5.805	<b>262.737</b>
Council Controlled Resources	119.663	89.103	73.315	33.575	39.217	<b>355.073</b>
<b>Total Funding</b>	<b>271.294</b>	<b>163.761</b>	<b>98.383</b>	<b>39.150</b>	<b>45.022</b>	<b>617.810</b>

**Table 4 - 2019/20 –2023/24 Capital Programme Financing**

91. The Council controlled figure is comprised of a number of resources that the Council has ultimate control over. These include Right to Buy receipts, revenue contributions, supported (government awarded) borrowing, prudential (Council funded) borrowing, reserves (including Venture Fund) and capital receipts.
92. During 2018/19 Total Capital Receipts of £4.3m were received into the General Fund Capital Receipts Reserve. Within this figure a receipt of £1.7m related to the sale of Fordlands Road, and £1.5m in relation to Oliver House. In line with the report to Executive in July 2015 Executive Members these receipts were ringfenced for use on the Older Peoples Accommodation Programme. Surplus capital receipts over this level will be available to support other council priorities
93. The remaining receipts received related to the sale of Stonebow House, 10-11 Redeness Street, and a strip of land at Bootham Car Park.
94. It is expected that a number of further Capital receipts will be received in 2019/20 in relation to Ashbank and Hungate overage on residential sales. These non-ringfenced receipts will continue to be paid into the Capital Receipts reserve, and options for the most

prudent use of these non ring-fenced receipts will be set out in future budgetary reports to members.

95. In financing the overall capital programme the Director of Customer & Corporate Services will use the optimum mix of funding sources available to achieve the best financial position for the Council. Therefore an option for any new capital receipts would be to use these to replace assumed borrowing, thereby reducing the Councils' borrowing levels and associated revenue costs.

### **Consultation**

96. Not applicable

### **Options**

97. Not applicable

### **Council Plan**

98. The information contained in this report demonstrates progress in achieving the priorities set out in the Council Plan.

### **Implications**

99. This report has the following implications:
- **Financial** – are contained throughout the main body of the report
  - **Human Resources (HR)** – There are no HR implications as a result of this report
  - **One Planet Council/ Equalities** – The capital programme seeks to address key equalities issues that affect the Council and the public. Schemes that address equalities include the Disabilities Support Grant, the Schools Access Initiative, the Community Equipment Loans Store (CELS) and the Disabilities Discrimination Act (DDA) Access Improvements. All individual schemes will be subject to Equalities Impact Assessments
  - **Legal Implications** - There are no Legal implications as a result of this report.
  - **Crime and Disorder** - There are no crime and disorder implications as a result of this report.
  - **Information Technology** - There are no information technology implications as a result of this report.



- **Property** - The property implications of this paper are included in the main body of the report which covers the funding of the capital programme from capital receipts.
- **Other** – There are no other implications

### **Risk Management**

100. There are a number of risks inherent in the delivery of a large scale capital programme. To mitigate against these risks the capital programme is regularly monitored as part of the corporate monitoring process, and the project management framework. This is supplemented by internal and external audit reviews of major projects. In addition, the Capital Asset Board meet monthly to plan, monitor and review major capital schemes to ensure that all capital risks to the Council are monitored and where possible minimised

### **Contact Details**

<b>Authors:</b>	<b>Cabinet Member &amp; Chief Officer Responsible for the report:</b>		
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Debbie Mitchell Corporate Finance Manager Corporate Finance	<b>Report Approved</b>		<b>Date</b>
<b>Wards Affected: All</b>			
<b>For further information please contact the authors of the report</b>			

<b>Specialist Implications:</b>
<b>Legal – Not Applicable</b>
<b>Property – Nick Collins</b>
<b>Information Technology – Not Applicable</b>

### **Annexes**

Annex A – Capital Programme by year 2018/19 – 2023/24

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	2018/19 Outturn Adj £000	2018/19 Outturn Reprofile £000	2018/19 Revised Outturn Budget £000	2018/19 Outturn Adj £000	2018/19 Outturn Reprofile £000	2019/20 Revised Outturn Budget £000	2018/19 Outturn Reprofile £000	2020/21 Revised Outturn Budget £000	2018/19 Outturn Reprofile £000	2021/22 Revised Outturn Budget £000	2022/23 Revised Outturn Budget £000	2023/24 Revised Budget £000	Gross Capital Programme To be Funded 18/19 - 23/24 £000
Customer & Corporate Services - IT	10	-1,555	1,879	0	1,555	5,085	0	1,535	0	1,870	2,070	2,070	12,630
<b>Total by Department</b>	<b>627</b>	<b>-29,516</b>	<b>77,402</b>	<b>8,532</b>	<b>29,956</b>	<b>271,294</b>	<b>-206</b>	<b>163,761</b>	<b>-234</b>	<b>98,383</b>	<b>39,150</b>	<b>45,222</b>	<b>617,810</b>
<b>TOTAL GROSS EXPENDITURE</b>	<b>627</b>	<b>-29,516</b>	<b>77,402</b>	<b>8,532</b>	<b>29,956</b>	<b>271,294</b>	<b>-206</b>	<b>163,761</b>	<b>-234</b>	<b>98,383</b>	<b>39,150</b>	<b>45,222</b>	<b>617,810</b>
<b>TOTAL EXTERNAL FUNDING</b>	<b>1,106</b>	<b>-7,387</b>	<b>39,845</b>	<b>2,295</b>	<b>7,387</b>	<b>151,631</b>	<b>0</b>	<b>74,658</b>	<b>0</b>	<b>25,068</b>	<b>5,575</b>	<b>5,805</b>	<b>262,737</b>
<b>TOTAL INTERNAL FUNDING</b>	<b>-479</b>	<b>-22,129</b>	<b>37,557</b>	<b>6,237</b>	<b>22,569</b>	<b>119,663</b>	<b>-206</b>	<b>89,103</b>	<b>-234</b>	<b>73,315</b>	<b>33,575</b>	<b>39,417</b>	<b>355,073</b>





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**Executive****27 June 2019**

Report of the Deputy Chief Executive & Director of Customer and Corporate Services  
Portfolio of the Executive Member for Finance & Performance

**Treasury Management Annual Report and Review of Prudential Indicators 2018/19****Summary**

1. The Council is required by regulations issued under the Local Government Act 2003 to produce an annual treasury management review of activities and the actual prudential and treasury indicators for 2018/19. This report meets the requirements of both the CIPFA Code of Practice on Treasury Management, (the Code) and the CIPFA Prudential Code for Capital Finance in Local Authorities, (the Prudential Code).
2. The regulatory environment places responsibility on members for the review and scrutiny of treasury management policy and activities. This report provides details of the outturn position for treasury activities and highlights compliance with the Council's policies previously approved by members.
3. This report also confirms that the Council has complied with the requirement under the Code to give prior scrutiny to treasury management reports by Audit & Governance Committee.

**Recommendations**

4. Executive is asked to:

Note the 2018/19 performance of treasury management activity and prudential indicators outlined in annex A.

Reason: to ensure the continued performance of the treasury management function can be monitored and to comply with statutory requirements.

## Background and analysis

### The Economy and Interest Rates

5. After weak economic growth of only 0.2% in quarter 1 of 2018, growth picked up to 0.4% in quarter 2 and to a particularly strong 0.7% in quarter 3 before cooling off to 0.2% in the final quarter. Given all the uncertainties over Brexit, this weak growth in the final quarter was to be expected. However, some recovery in the rate of growth is expected going forward. The annual growth in quarter 4 came in at 1.4% year on year confirming that the UK was the third fastest growing country in the G7 in quarter 4.
6. After the Monetary Policy Committee raised Bank Rate from 0.5% to 0.75% in August 2018, it is little surprise that they have abstained from any further increases since then. We are unlikely to see any further action from the MPC until the uncertainties over Brexit clear. Nevertheless, the MPC has been having increasing concerns over the trend in wage inflation which peaked at a new post financial crisis high of 3.5%, (excluding bonuses), in the three months to December before falling only marginally to 3.4% in the three months to January. British employers ramped up their hiring at the fastest pace in more than three years in the three months to January as the country's labour market defied the broader weakness in the overall economy as Brexit approached. The number of people in work surged by 222,000, helping to push down the unemployment rate to 3.9 percent, its lowest rate since 1975. Correspondingly, the total level of vacancies has risen to new highs.
7. As for CPI inflation itself, this has been on a falling trend since peaking at 3.1% in November 2017, reaching a new low of 1.8% in January 2019 before rising marginally to 1.9% in February. However, in the February 2019 Bank of England Inflation Report, the latest forecast for inflation over both the two and three year time horizons remained marginally above the MPC's target of 2%.

### Overall treasury position as at 31 March 2019

8. The Council's year end treasury debt and investment position for 2018/19 compared to 2017/18 is summarised in the table below:

<b>Debt</b>	<b>31/03/2019 £m</b>	<b>Rate %</b>	<b>31/03/2018 £m</b>	<b>Rate %</b>
General Fund debt	103.4	4.01	118.0	4.24
Housing Revenue Account (HRA) debt	139.0	3.31	139.0	3.34
PFI	47.4	n/a	61.2	n/a

Total debt	289.8	3.61	318.2	3.75
<b>Investments</b>				
Councils investment balance	44.3	0.69	75.7	0.41

Table 1 summary of year end treasury position as at 31 March 2010

### The Strategy for 2018/19

9. Investment returns remained low during 2018/19. The expectation for interest rates within the treasury management strategy for 2018/19 was that Bank Rate would rise from 0.5% to 0.75%. At the start of 2018/19, and after UK GDP growth had proved disappointingly weak in the first few months of 2018, the expectation for the timing of this increase was pushed back from May to August 2018. Investment rates were therefore on a gently rising trend in the first half of the year, in anticipation that the Monetary Policy Committee would raise bank rate in August. This duly happened at the meeting on 2<sup>nd</sup> August 2018.
10. Investment rates were little changed during August to October but rose sharply after the MPC meeting of 1<sup>st</sup> November was unexpectedly hawkish about their perception of building inflationary pressures, particularly from rising wages. However, weak GDP growth data after December, plus increasing concerns generated by Brexit, resulted in rates falling back again.
11. Continued uncertainty in the aftermath of the 2008 financial crisis has promoted a cautious approach whereby investments continue to be dominated by low counterparty risk considerations, resulting in relatively low returns compared to borrowing rates.

### Borrowing requirement and debt

12. The Council's underlying need to borrow to finance capital expenditure is termed the Capital Financing Requirement (CFR).

	31 March 2019 Actual £m	31 March 2019 Budget £m	31 March 2018 Actual £m
CFR General Fund	214.4	241.6	201.1
CFR HRA	139.0	139.0	139.0
PFI	47.4	59.7	61.2
Total CFR	400.8	440.3	401.3

Table 2 capital financing requirement

Borrowing outturn for 2018/19

13. The Council continues to make efficient use of its strong cash balance position to support its current capital expenditure requirements. One new loan was taken during the year, a further instalment of £405,761 on a 10 year fixed rate loan from West Yorkshire Combined Authority at 0% interest and repayable on the 28<sup>th</sup> February 2027. No repayments are due during the term of the loan. The purpose of the loans is to help to fund York Central infrastructure projects. Members are reminded that this is an instalment of a total £2.55m loan agreed by Executive on the 14<sup>th</sup> July 2016.
14. One loan has been redeemed prematurely. The Council was approached by the provider of one of its LOBO loans who advised they would be willing to negotiate a reduced premium to redeem the loan early. The Council asked its treasury management advisers to review the proposal and they highlighted that, given our strong cash position, it would be financially advantageous to accept the offer. The £5m loan was redeemed on the 12th October and, based on the loan not being refinanced, the saving to the treasury budget is £51k in 2018/19 and £111k in 2019/20 although this gradually reduces over the remaining life of the loan. The average saving generated is £29k pa. The net benefit over the remaining 42 years of the original loan period would be £1.242m in cash terms, and £738k on a net present value basis, split between GF and HRA.
15. No rescheduling was done during the year as the average 1% differential between PWLB new borrowing rates and premature repayment rates made rescheduling unviable.

Investment outturn for 2018/19

16. The Council's investment policy is governed by MHCLG guidance, which has been implemented in the annual investment strategy approved by the Council on 22<sup>nd</sup> February 2018. This policy sets out the approach for choosing investment counterparties, and is based on credit ratings provided by the three main credit rating agencies, supplemented by additional market data, (such as rating outlooks, credit default swaps, bank share prices etc.). The investment activity during the year conformed to the approved strategy, and the Council had no liquidity difficulties.
17. The Council maintained an average investment balance of £93.531m in 2018/19 compared to £111.11m in 2017/18. The surplus funds earned an average rate of return of 0.69% in 2018/19 compared to 0.41% in 2017/18.
18. The comparable performance indicator for the Councils investment performance is the average London Inter Bank Bid Rate (LIBID) which represents the average interest rate at which major London banks borrow

from other banks. Table 3 shows the rates for financial year 2018/19 and shows that for all cash holdings the rate of return exceeds the levels of the usual 7 day and 3 month benchmarks.

Benchmark	Benchmark Return	Council Performance
7 day	0.51	0.69
3 month	0.68	0.69

Table 3 – LIBID vs. CYC comparison

19. This compares with a budget assumption of average investment balances between a low point of £32m and high point of £102.9m at an average 0.40% investment return.

### Consultation

20. The report has been reviewed and scrutinised by Audit and Governance Committee on 19<sup>th</sup> June 2019.

### Options

21. Not applicable.

### Council Plan

22. Effective treasury management ensures the Council has sufficient liquidity to operate, safeguards investments, maximises return on those investments and minimises the cost of debt. This allows more resources to be allocated for delivering the Council's priorities as set out in the Council Plan.

### Implications

23. This report has the following implications:

- **Financial** are contained throughout the main body of the report.
- **Human Resources (HR)** There are no HR implications.
- **One Planet Council / Equalities** There are no One Planet Council or equalities implications.
- **Legal** Treasury management activities have to conform to the Local Government Act 2003, which specifies that the Council is required to adopt the CIPFA Prudential Code and the CIPFA Treasury Management Code of Practice.
- **Crime and Disorder** There are no crime and disorder implications.

- **Information Technology (IT)** There are no IT implications.
- **Property** There are no property implications.
- **Other** There are no other implications.

## Risk Management

24. The treasury function is a high-risk area due to the large value transactions that take place. As a result, there are strict procedures set out as part of the treasury management practices statement. The scrutiny of this and other monitoring reports is carried out by Audit and Governance Committee as part of the Council's system of internal control.

## Contact Details

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Emma Audrain Technical Accountant	<b>Report Approved</b>	✓	<b>Date</b>	12/6/19
<b>Wards Affected:</b> List wards or tick box to indicate all			<b>All</b>	✓
<b>For further information please contact the author of the report</b>				

## Background Papers:

None

## Annexes:

Annex A: Prudential Indicators 2018/19

## List of Abbreviations Used in this Report

CIPFA - Chartered Institute of Public Finance & Accountancy

MRP - Minimum Revenue Provision

CFR - Capital Financing Requirement

MPC - Monetary Policy Committee

PWLB - Public Works Loan Board

CLG – (Department for) Communities and Local Government

LIBID – The London Interbank Bid Rate

## Prudential Indicators 2018/19 Outturn

	Prudential Indicator		2018/19	2019/20	2020/21	2021/22	2022/23	2023/24
1	<b>Capital expenditure</b> To allow the authority to plan for capital financing as a result of the capital programme and enable the monitoring of capital budgets.	GF	£62.2m	£217.9m	£108.9m	£58.3m	£17.5m	£11.1m
		HRA	£15.2m	£53.4m	£54.8m	£40.1m	£21.7m	£34.2m
		PFI	£0.0m	£0.0m	£0.0m	£0.0m	£0.0m	£0.0m
		<u>Total</u>	<u>£77.4m</u>	<u>£271.3m</u>	<u>£163.8m</u>	<u>£98.4m</u>	<u>£39.2m</u>	<u>£45.3m</u>
2	<b>CFR as at 2018/19 outturn</b> Indicates the Council's underlying need to borrow money for capital purposes. The majority of the capital programme is funded through government support, government grant or the use of capital receipts. The use of borrowing increases the CFR.	GF	£214.4m	£268.4m	£289.4m	£307.0m	£301.5m	£289.9m
		HRA	£139.0m	£139.1m	£139.1m	£139.1m	£139.1m	£139.1m
		PFI	£47.4m	£46.3m	£45.2m	£44.0m	£42.8m	£41.7m
		<u>Total</u>	<u>£400.8m</u>	<u>£453.8m</u>	<u>£473.7m</u>	<u>£490.1m</u>	<u>£483.4m</u>	<u>£470.7m</u>
3	<b>Ratio of financing costs to net revenue stream</b> An estimate of the cost of borrowing in relation to the net cost of Council services to be met from government grant and council taxpayers. In the case of the HRA the net revenue stream is the income from rents.	GF	11.05%	11.59%	15.71%	18.18%	20.38%	20.02%
		HRA	11.76%	11.91%	11.54%	11.19%	10.87%	10.58%
		<u>Total</u>	<u>11.20%</u>	<u>11.66%</u>	<u>14.83%</u>	<u>16.67%</u>	<u>18.27%</u>	<u>17.89%</u>
4	<b>External debt</b> To ensure that borrowing levels are prudent over the medium term the Council's external borrowing, net of investments, must only be for a capital purpose and so not exceed the CFR.	Gross Debt	£289.8m	£282.8m	£318.7m	£337.5m	£364.6m	£359.2m
		Invest	£44.3m	£20.0m	£20.0m	£20.0m	£20.0m	£20.0m
		<u>Net Debt</u>	<u>£245.5m</u>	<u>£262.8m</u>	<u>£298.7m</u>	<u>£317.5m</u>	<u>£344.6m</u>	<u>£339.2m</u>

	Prudential Indicator		2018/19	2019/20	2020/21	2021/22	2022/23	2023/24
5 a	<p><b>Authorised limit for external debt</b></p> <p>The authorised limit is a level set above the operational boundary in acceptance that the operational boundary may well be breached because of cash flows. It represents an absolute maximum level of debt that could be sustained for only a short period of time. The council sets an operational boundary for its total external debt, gross of investments, separately identifying borrowing from other long-term liabilities.</p>	Borrowing / Other long term liabilities Total	£450.3m £30.0m <u>£480.3m</u>	£463.2m £30.0m <u>£493.2m</u>	£483.7m £30.0m <u>£513.7m</u>	£500.1m £30.0m <u>£530.1m</u>	£493.5m £30.0m <u>£523.5m</u>	£480.7m £30.0m <u>£510.7m</u>
5 b	<p><b>Operational boundary for external debt</b></p> <p>The operational boundary is a measure of the most likely, prudent, level of debt. It takes account of risk management and analysis to arrive at the maximum level of debt projected as part of this prudent assessment. It is a means by which the authority manages its external debt to ensure that it remains within the self-imposed authority limit. It is a direct link between the Council's plans for capital expenditure; our estimates of the capital financing requirement; and estimated operational cash flow for the year.</p>	Borrowing Other long term liabilities Total	£440.3m £10.0m <u>£450.3m</u>	£453.2m £10.0m <u>£463.2m</u>	£473.7m £10.0m <u>£483.7m</u>	£490.1m £10.0m <u>£500.1m</u>	£483.5m £10.0m <u>£493.5m</u>	£470.7m £10.0m <u>£480.7m</u>





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